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**INSTITUT  
MITTAG-LEFFLER**

Auravägen 17, SE-182 60 Djursholm, Sweden  
Tel. +46 8 622 05 60 Fax. +46 8 622 05 89  
info@mittag-leffler.se www.mittag-leffler.se

## Forcing properties of ideals of closed sets

M. Sabok and J. Zapletal

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# FORCING PROPERTIES OF IDEALS OF CLOSED SETS

MARCIN SABOK AND JINDŘICH ZAPLETAL

ABSTRACT. With every  $\sigma$ -ideal  $I$  on a Polish space we associate the  $\sigma$ -ideal  $I^*$  generated by the closed sets in  $I$ . We study the forcing notions of Borel sets modulo the respective  $\sigma$ -ideals  $I$  and  $I^*$  and find connections between their forcing properties. To this end, we associate to a  $\sigma$ -ideal on a Polish space an ideal on a countable set and show how forcing properties of the forcing depend on combinatorial properties of the ideal. For  $\sigma$ -ideals generated by closed sets we also study the degrees of reals added in the forcing extensions. Among corollaries of our results, we get necessary and sufficient conditions for a  $\sigma$ -ideal  $I$  generated by closed sets, under which every Borel function can be restricted to an  $I$ -positive Borel set on which it is either 1-1 or constant.

## 1. INTRODUCTION

This paper is concerned with the study of  $\sigma$ -ideals  $I$  on Polish spaces and associated forcing notions  $P_I$  of  $I$ -positive Borel sets, ordered by inclusion. If  $I$  is a  $\sigma$ -ideal on  $X$ , then by  $I^*$  we denote the  $\sigma$ -ideal generated by the closed subsets of  $X$  which belong to  $I$ . Clearly,  $I^* \subseteq I$  and  $I^* = I$  if  $I$  is generated by closed sets.

There are natural examples when the forcing  $P_I$  is well understood, whereas little is known about  $P_{I^*}$ . For instance, if  $I$  is the  $\sigma$ -ideal of Lebesgue null sets, then the forcing  $P_I$  is the random forcing and  $I^*$  is the  $\sigma$ -ideal  $\mathcal{E}$ . The latter has been studied by Bartoszyński and Shelah [2], [1] but from a slightly different point of view. On the other hand,

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most classical forcing notions, like Cohen, Sacks or Miller forcings fall under the category of  $P_I$  for  $I$  generated by closed sets.

Some general observations are right on the surface. By the results of [11, Section 4.1] we have that the forcing  $P_{I^*}$  is proper and *preserves Baire category* (for a definition see [11, Section 3.5]). In the case when  $I \neq I^*$  on Borel sets, the forcing  $P_{I^*}$  is not  $\omega^\omega$ -bounding by [11, Theorem 3.3.1], since any condition  $B \in P_{I^*}$  with  $B \in I$  has no closed  $I^*$ -positive subset. It is worth noting here that the forcing  $P_{I^*}$  depends not only on the  $\sigma$ -ideal  $I$  but also on the topology of the space  $X$ .

One of the motivations behind studying the idealized forcing notions  $P_I$  is the correspondence between Borel functions and reals added in generic extensions. The well-known property of the Sacks or Miller forcing is that all reals in the extension are either ground model reals, or have the same degree as the generic real. Similar arguments also show that the generic extensions are minimal, in the sense that there are no intermediate models. On the other hand, the Cohen forcing adds continuum many degrees and the structure of the generic extension is very far from minimality. In [11, Theorem 4.1.7] the second author showed that under some large cardinal assumptions the Cohen extension is the only intermediate model which can appear in the  $P_I$  generic extension when  $I$  is universally Baire  $\sigma$ -ideal generated by closed sets.

The commonly used notion of degree of reals in the generic extensions is quite vague, however, and in this paper we distinguish two instances.

**Definition 1.1.** Let  $V \subseteq W$  be a generic extension. We say that two reals  $x, y \in W$  are of the same *continuous degree* if there is a partial homeomorphism from  $\omega^\omega$  to  $\omega^\omega$  such that  $f \in V$ ,  $\text{dom}(f)$  and  $\text{rng}(f)$  are  $\mathbf{G}_\delta$  subsets of the reals and  $f(x) = y$ . We say that  $x, y \in W$  are of the same *Borel degree* if there is a Borel automorphism  $h$  of  $\omega^\omega$  such that  $h \in V$  and  $h(x) = y$ .

Following the common fashion, we say that a forcing notion  $P_I$  *adds one continuous (or Borel) degree* if for any  $P_I$  generic extension  $V \subseteq W$  any real in  $W$  either belongs to  $V$ , or has the same continuous (or Borel) degree as the generic real.

The following results connect the forcing properties of  $P_I$  and  $P_{I^*}$ . In some cases we need to make some definability assumption, namely that  $I$  is  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$ . For a definition of this notion see [7, Section 29.E] or [11, Section 3.8]. Note that if  $I$  is  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$ , then  $I^*$  is  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$  too, by [7, Theorem 35.38].

**Theorem 1.2.** *If the forcing  $P_I$  is proper and  $\omega^\omega$ -bounding, then the forcing  $P_{I^*}$  adds one continuous degree.*

**Theorem 1.3.** *If the forcing  $P_I$  is proper and does not add Cohen reals, then the forcing  $P_{I^*}$  does not add Cohen reals.*

**Theorem 1.4.** *If  $I$  is  $\Pi_1^1$  on  $\Sigma_1^1$  and the forcing  $P_I$  is proper and does not add independent reals, then the forcing  $P_{I^*}$  does not add independent reals.*

**Theorem 1.5.** *If  $I$  is  $\Pi_1^1$  on  $\Sigma_1^1$  and the forcing  $P_I$  is proper and preserves outer Lebesgue measure, then the forcing  $P_{I^*}$  preserves outer Lebesgue measure.*

The methods of this paper can be extended without much effort to other cases, for example to show that if  $P_I$  is proper and has the weak Laver property, then  $P_{I^*}$  inherits this property. As a consequence, by the results of [12, Theorem 1.4] it follows (under some large cardinal assumptions) that if  $P_I$  proper and preserves P-points, then  $P_{I^*}$  preserves P-points as well.

To prove the above results we introduce a combinatorial tree forcing notion  $Q(J)$  for  $J$  which is a hereditary family of subsets of  $\omega$ . These are relatives of the Miller forcing. To determine forcing properties of  $Q(J)$  we study the position of  $J$  in the Katětov ordering, a generalization of the Rudin–Keisler order on ultrafilters. Further, we show that the forcing  $P_I$  gives rise to a natural ideal  $J_I$  on a countable set and we correlate forcing properties of  $Q(J_I)$  with the Katětov properties of  $J_I$ . Finally, we prove that the forcing  $P_{I^*}$  is, in the nontrivial case, equivalent to  $Q(J_I)$ . The conjunction of these results proves all the above theorems.

It is not difficult to see that the  $\sigma$ -ideal of meager sets has the following maximality property: if  $I$  is such that  $I^*$  is the  $\sigma$ -ideal of meager sets, then  $I = I^*$  on Borel sets.

In fact, even if  $P_{I^*}$  is equivalent to the Cohen forcing, then  $I = I^*$  on Borel sets. Indeed, if the  $P_{I^*}$  generic real is a Cohen real, then  $I^*$  contains all meager sets. If  $U$  is the union of all basic open sets in  $I$ , then  $U \in I \cap I^*$  and if  $F$  is the complement of  $U$ , then on the family of Borel subsets of  $F$  the  $\sigma$ -ideals  $I$  and  $I^*$  are equal to the  $\sigma$ -ideal of meager subsets of  $F$ .

We will show that the same holds for the  $\sigma$ -ideals for the Sacks and Miller forcings.

**Proposition 1.6.** *If  $I$  is a  $\sigma$ -ideal such that  $I \neq I^*$  on Borel sets, then  $P_{I^*}$  is neither equivalent to the Miller nor to the Sacks forcing.*

Next, motivated by the examples of the Sacks and the Miller forcing we prove the following.

**Theorem 1.7.** *Let  $I$  be a  $\sigma$ -ideal generated by closed sets on a Polish space  $X$ . Any real in a  $P_I$ -generic extension is either a ground model real, a Cohen real, or else has the same Borel degree as the generic real.*

**Corollary.** *Let  $I$  be a  $\sigma$ -ideal generated by closed sets on a Polish space  $X$ . The following are equivalent:*

- $P_I$  does not add Cohen reals,
- for any  $B \in P_I$  and any continuous function  $f : B \rightarrow \omega^\omega$  there is  $C \subseteq B$ ,  $C \in P_I$  such that  $f$  is 1-1 or constant on  $C$ .

The proof of Theorem 1.7 uses a topology extension tool, which is motivated by the Gandy–Harrington technique. The difference, however, is that instead of recursively coded analytic sets, we use as a topology base the family of all Borel sets coded in a countable model.

This paper is organized as follows. In Section 3 we introduce the tree forcing notions  $Q(J)$  and relate their forcing properties with the Katětov properties of  $J$ . In Section 4 we show how to associate an ideal  $J_I$  to a  $\sigma$ -ideal  $I$  and how forcing properties of  $P_I$  determine Katětov properties of  $J$ . In Section 5 we show that in the nontrivial case the forcing notions  $P_{I^*}$  and  $Q(J_I)$  are equivalent. In Section 6 we prove Proposition 1.6. In Section 7 we prove Theorem 1.7.

## 2. NOTATION

The notation in this paper follows the set theoretic standard of [4]. Notation concerning idealized forcing follows [11].

For a poset  $P$  we write  $\text{ro}(P)$  for the Boolean algebra of regular open sets in  $P$ . For a Boolean algebra  $B$  we write  $\text{st}(B)$  for the Stone space of  $B$ . If  $\lambda$  is a cardinal, then  $\text{Coll}(\omega, \lambda)$  stands for the poset of finite partial functions from  $\omega$  into  $\lambda$ , ordered by inclusion.

If  $T \subseteq Y^{<\omega}$  is a tree and  $t \in T$  is a node, then we write  $T \upharpoonright t$  for the tree  $\{s \in T : s \subseteq t \vee t \subseteq s\}$ . For  $t \in T$  we denote by  $\text{succ}_T(t)$  the set  $\{y \in Y : t \hat{\ } y \in T\}$ . We say that  $t \in T$  is a *splitnode* if  $|\text{succ}_T(t)| > 1$ . The set of all splitnodes of  $T$  is denoted by  $\text{split}(T)$ .

## 3. COMBINATORIAL TREE FORCINGS

In this section we assume that  $J$  is a family of subsets of a countable set  $\text{dom}(J)$ . We assume that  $\omega \notin J$  and that  $J$  is *hereditary*, i.e. if  $a \subseteq b \subseteq \text{dom}(J)$  and  $b \in J$ , then  $a \in J$ . Occasionally, we will require that  $J$  is an ideal. We say that  $a \subseteq \text{dom}(J)$  is  *$J$ -positive* if  $a \notin J$ . For a  $J$ -positive set  $a$  we write  $J \upharpoonright a$  for the family of all subsets of  $a$  which belong to  $J$ .

**Definition 3.1.** The poset  $Q(J)$  consists of those trees  $T \subseteq \text{dom}(J)^{<\omega}$  for which every node  $t \in T$  has an extension  $s \in T$  satisfying  $\text{succ}_T(s) \notin J$ .  $Q(J)$  is ordered by inclusion.

Thus the Miller forcing is just  $Q(J)$  when  $J$  is the Fréchet ideal on  $\omega$ .  $Q(J)$  is a forcing notion adding the generic branch in  $\text{dom}(J)^\omega$ , which also determines the generic filter. We write  $\dot{g}$  for the canonical name for the generic branch. Basic fusion arguments literally transfer from the Miller forcing case to show that  $Q(J)$  is proper and preserves the Baire category.

**Proposition 3.2.** *The forcing  $Q(J)$  is equivalent to a forcing  $P_I$  where  $I$  is a  $\sigma$ -ideal generated by closed sets.*

*Proof.* To simplify notation assume  $\text{dom}(J) = \omega$ . Whenever  $f : \omega^{<\omega} \rightarrow J$  is a function, let  $A_f = \{x \in \omega^\omega : \forall n < \omega \ x(n) \in f(x \upharpoonright n)\}$ . Note that the sets  $A_f$  are closed. Let  $I_J$  be the  $\sigma$ -ideal generated by all sets of this form.

**Lemma 3.3.** *An analytic set  $A \subseteq \omega^\omega$  is  $I_J$ -positive if and only if it contains all branches of a tree in  $Q(J)$ .*

*Proof.* For a set  $C \subseteq \omega^\omega \times \omega^\omega$  we consider the game  $G(C)$  between Players I and II in which at  $n$ -th round Player I plays a finite sequence  $s_n \in \omega^{<\omega}$  and a number  $m_n \in \omega$ , and Player II answers with a set  $a_n \in J$ . The first element of the sequence  $s_{n+1}$  must not belong to the set  $a_n$ . In the end let  $x$  be the concatenation of  $s_n$ 's and let  $y$  be the concatenation of  $m_n$ 's. Player I wins if  $\langle x, y \rangle \in C$ .

**Claim.** *Player II has a winning strategy in  $G(C)$  if and only if  $\text{proj}(C) \in I_J$ . If Player I has a winning strategy in  $G(C)$ , then  $\text{proj}(C)$  contains all branches of a tree in  $Q(J)$ .*

The proof of the above Claim is standard (cf. [7, Theorem 21.2]) and we omit it. Now, if  $C \subseteq \omega^\omega \times \omega^\omega$  is closed such that  $\text{proj}(C) = A$ , then determinacy of  $G(C)$  gives the desired property of  $A$ .  $\square$

This shows that  $P_{I_J}$  has a dense subset isomorphic to  $Q(J)$ , so the two forcing notions are equivalent.  $\square$

If  $J$  is coanalytic, then the  $\sigma$ -ideal  $I_J$  associated with the poset  $Q(J)$  is  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$ . The further, finer forcing properties of  $Q(J)$  depend on the position of  $J$  in the Katětov ordering.

**Definition 3.4** ([5]). Let  $H$  and  $F$  be hereditary families of subsets of  $\text{dom}(H)$  and  $\text{dom}(F)$  respectively.  $H$  is *Katětov above*  $F$ , or  $H \geq_K F$ , if there is a function  $f : \text{dom}(H) \rightarrow \text{dom}(F)$  such that  $f^{-1}(a) \in H$  for each  $a \in F$ .

For a more detailed study of this order see [3]. It turns out that for many preservation-type forcing properties  $\phi$  there is a critical hereditary family  $H_\phi$  such that  $\phi(Q(J))$  holds if and only if  $J \upharpoonright a \not\geq_K H_\phi$  for every  $a \notin J$ . This section collects several results of this kind.

**Definition 3.5.** We say that  $a \subseteq 2^{<\omega}$  is *nowhere dense* if every finite binary sequence has an extension such that no further extension falls into  $a$ . *NWD* stands for the ideal of all nowhere dense subsets of  $2^{<\omega}$ .

**Theorem 3.6.**  $Q(J)$  does not add Cohen reals if and only if  $J \upharpoonright a \not\geq_K \text{NWD}$  for every  $J$ -positive set  $a$ .

*Proof.* On one hand, suppose that there exists a  $J$ -positive set  $a$  such that  $J \upharpoonright a \geq_K \text{NWD}$  as witnessed by a function  $f : a \rightarrow 2^{<\omega}$ . Then, the tree  $a^{<\omega}$  forces the concatenation of the  $f$ -images of numbers on the generic sequence to be a Cohen real.

On the other hand, suppose that  $J \upharpoonright a \not\geq_K \text{NWD}$ . Let  $T \in Q(J)$  be a condition and  $\dot{y}$  be a name for an infinite binary sequence. We must show that  $\dot{y}$  is not a name for a Cohen real. That is, we must produce a condition  $S \leq T$  and an open dense set  $O \subseteq 2^\omega$  such that  $S \Vdash \dot{y} \notin \check{O}$ .

Strengthening the condition  $T$  if necessary we may assume that there is a continuous function  $f : [T] \rightarrow 2^\omega$  such that  $T \Vdash \dot{y} = \dot{f}(\dot{g})$ . For every splitnode  $t \in T$  and for every  $n \in \text{succ}_T(t)$  pick a branch  $b_{t,n} \in [T]$  such that  $t \hat{\ } n \subseteq b_{t,n}$ . Use the K atětov assumption to find a  $J$ -positive subset  $a_t \subseteq \text{succ}_T(t)$  such that the set  $\{f(b_{t,n}) : n \in a_t\} \subseteq 2^\omega$  is nowhere dense.

Consider the countable poset  $P$  consisting of pairs  $p = \langle s_p, O_p \rangle$  where  $s_p$  is a finite set of splitnodes of  $T$ ,  $O_p \subseteq 2^\omega$  is a clopen set, and  $O_p \cap \{f(b_{t,n}) : t \in s_p, n \in a_t\} = \emptyset$ . The ordering is defined by  $q \leq p$  if

- $s_p \subseteq s_q$  and  $O_p \subseteq O_q$ ,
- if  $t \in s_q \setminus s_p$ , then  $f(x) \notin O_p$  for each  $x \in [T]$  such that  $t \subseteq x$ .

Choose  $G \subseteq P$ , a sufficiently generic filter, and define  $O = \bigcup_{p \in G} O_p$  and  $S \subseteq T$  to be the downward closure of  $\bigcup_{p \in G} s_p$ . Simple density arguments show that  $O \subseteq 2^\omega$  is open dense and moreover,  $S \in Q(J)$ , since for every node  $t \in \bigcup_{p \in G} s_p$  and every  $n \in a_t$  we have  $t \hat{\ } n \in S$ . The definitions show that  $f''[S] \cap O = \emptyset$  as desired.  $\square$

**Definition 3.7.** Let  $0 < \varepsilon < 1$  be a real number. The ideal  $S_\varepsilon$  has as its domain all clopen subsets of  $2^\omega$  of Lebesgue measure less than  $\varepsilon$ , and it is generated by those sets  $a$  with  $\bigcup a \neq 2^\omega$ .

This ideal is closely connected with the Fubini property of ideals on countable sets, as shown below in a theorem of Solecki.

**Definition 3.8.** If  $a \subseteq \text{dom}(J)$  and  $D \subseteq a \times 2^\omega$ , then we write

$$\int_a D \, dJ = \{y \in 2^\omega : \{j \in a : \langle j, y \rangle \notin D\} \in J\}.$$

$J$  has the *Fubini property* if for every real  $\varepsilon > 0$ , every  $J$ -positive set  $a$  and every Borel set  $D \subseteq a \times 2^\omega$  with vertical sections of Lebesgue measure less than  $\varepsilon$ , the set  $\int_a D \, dJ$  has outer measure at most  $\varepsilon$ .

Obviously, the ideals  $S_\varepsilon$  as well as all families above them in the Katětov ordering fail to have the Fubini property. The following theorem implicitly appears in [10, Theorem 2.1], the formulation below is stated in [3, Theorem 3.13] and proved in [8, Theorem 3.7.1].

**Theorem 3.9** (Solecki). *Suppose  $F$  is an ideal on a countable set. Then either  $F$  has the Fubini property, or else for every (or equivalently, some)  $\varepsilon > 0$  there is a  $F$ -positive set  $a$  such that  $F \upharpoonright a \geq_K S_\varepsilon$ .*

By  $\mu$  we denote the outer Lebesgue measure on  $2^\omega$ . For a definition of *preservation of outer Lebesgue measure* and further discussion on this property see [11, Section 3.6].

**Theorem 3.10.** *Suppose that  $J$  is a universally measurable ideal.  $Q(J)$  preserves outer Lebesgue measure if and only if  $J$  has the Fubini property.*

*Proof.* Suppose on one hand that  $J$  fails to have the Fubini property. Find a sequence of  $J$ -positive sets  $\langle b_n : n \in \omega \rangle$  such that  $J \upharpoonright b_n \geq_K S_{2^{-n}}$ , as witnessed by functions  $f_n$ . Consider the tree  $T$  of all sequences  $t \in \text{dom}(J)^{<\omega}$  such that  $t(n) \in b_n$  for each  $n \in \text{dom}(t)$ . Let  $\dot{B}$  be a name for the set  $\{z \in 2^\omega : \exists^\infty n \, z \in f_n(\dot{g}(n))\}$ .  $T$  forces that the set  $\dot{B}$  has measure zero, and the definition of the ideals  $S_\varepsilon$  shows that every ground model point in  $2^\omega$  is forced to belong to  $\dot{B}$ . Thus  $Q(J)$  fails to preserve Lebesgue outer measure at least below the condition  $T$ .

On the other hand, suppose that the ideal  $J$  does have the Fubini property. Suppose that  $Z \subseteq 2^\omega$  is a set of outer Lebesgue measure  $\delta$ ,  $\dot{O}$  is a  $Q(J)$ -name for an open set of measure less or equal to  $\varepsilon < \delta$ , and  $T \in Q(J)$  is a condition. We must find a point  $z \in Z$  and a condition  $S \leq T$  forcing  $\dot{z} \notin \dot{O}$ .

By a standard fusion argument, thinning out the tree  $T$  if necessary, we may assume that there is a function  $h : \text{split}(T) \rightarrow \mathcal{O}$  such that

$$T \Vdash \dot{O} = \bigcup \{h(\dot{g} \upharpoonright n + 1) : \dot{g} \upharpoonright n \in \text{split}(T)\}.$$

Moreover, we can make sure that if  $t_n \in T$  is the  $n$ -th splitting node, then  $T \upharpoonright t_n$  decides a subset of  $\dot{O}$  with measure greater than  $\varepsilon/2^n$ .



Hence, if we write  $f(t_n) = \varepsilon/2^n$ , then for every splitnode  $t \in T$  and every  $n \in \text{succ}_T(t)$  we have  $\mu(h(t \hat{\ } n)) < f(t)$ .

Now, for every splitnode  $t \in T$  let

$$D_t = \{\langle O, x \rangle : x \in 2^\omega \wedge O \in \text{succ}_T(t) \wedge x \in h(t \hat{\ } O)\}.$$

It follows from universal measurability of  $J$  that the set  $\int_{\text{succ}_T(t)} D_t dJ$  is measurable. It has mass not greater than  $f(t)$ , by the Fubini assumption. Since  $\sum_{t \in \text{split}(T)} f(t) < \delta$ , we can find

$$z \in Z \setminus \bigcup_{t \in \text{split}(T)} \int_{\text{succ}_T(t)} D_t dJ.$$

Let  $S \subseteq T$  be the downward closure of those nodes  $t \hat{\ } n$  such that  $t \in T$  is a splitnode and  $n \in \text{succ}_T(t)$  is such that  $z \notin h(t \hat{\ } n)$ .  $S$  belongs to  $Q(J)$  by the choice of the point  $z$  and  $S \Vdash \check{z} \notin \dot{O}$ , as required.  $\square$

An *independent real* is a set  $x$  of natural numbers in a generic extension such that both  $x$  and the complement of  $x$  meet every infinite set of natural numbers from the ground model.

**Definition 3.11.** SPL is the family of nonsplitting subsets of  $2^{<\omega}$ , i.e. those  $a \subseteq 2^{<\omega}$  for which there is an infinite set  $c \subseteq \omega$  such that  $t \upharpoonright c$  is constant for every  $t \in a$ .

Obviously, SPL is an analytic set, but it is not clear whether it is also coanalytic.

**Question 3.12.** Is SPL a Borel set?

In the following theorem we show that in two quite general cases SPL is critical for the property of adding independent reals.

Note that if  $J$  is an ideal,  $H$  is hereditary and  $H'$  is the ideal generated by  $K$ , then  $J \leq_K H$  if and only if  $J \leq_K H'$ . Therefore, in case  $J$  is an ideal,  $J \geq_K \text{SPL}$  is equivalent to  $J$  being Katětov above the ideal generated by SPL. The latter is analytic, so in particular it has the Baire property.

**Theorem 3.13.** *Suppose that  $J$  is coanalytic or  $J$  is an ideal with the Baire property.  $Q(J)$  does not add independent reals if and only if  $J \upharpoonright a \not\geq_K \text{SPL}$  for every  $J$ -positive  $a$ .*

*Proof.* Again, the left to right direction is easy. If  $J \upharpoonright a \geq_K \text{SPL}$  for some  $J$ -positive set  $a$ , as witnessed by a function  $f$ , then the condition  $a^{<\omega} \in Q(J)$  forces that the concatenation of  $\langle f(\dot{g}(n)) : n \in \omega \rangle$  is an independent real.

For the right to left direction, we will need two preliminary general facts. For a set  $a \subseteq \omega$  by an *interval in  $a$*  we mean a set of the form  $[k, l) \cap a$ .

First, let  $a \subseteq \omega$  be a  $J$ -positive set, and let Players I and II play a game  $G(a)$ , in which they alternate to post consecutive (pairwise disjoint) finite intervals  $b_0, c_0, b_1, c_1, \dots$  in the set  $a$ . Player II wins if the union of his intervals  $\bigcup_{n < \omega} c_n$  is  $J$ -positive.

**Lemma 3.14.** *Player II has a winning strategy in  $G(a)$  for any  $a \notin J$ .*

*Proof.* In case  $J$  is an ideal with the Baire property, this follows immediately from the Talagrand theorem [1, Theorem 4.1.2]. Indeed, if  $\{I_k : k < \omega\}$  is a partition of  $a$  into finite sets such that each  $b \in J$  covers only finitely many of them, then the strategy for II is as follows: at round  $n$  pick  $c_n$  covering one of the  $I_k$ 's.

Now we prove the lemma in case  $J$  is coanalytic. Consider a related game, more difficult for Player II. Fix a continuous function  $f : \omega^\omega \rightarrow \mathcal{P}(a)$  such that its range consists exactly of all  $J$ -positive sets. The new game  $G'(a)$  proceeds just as  $G(a)$ , except Player II is required to produce sequences  $t_n \in \omega^{<\omega}$  of length and all entries at most  $n$ , and in the end, Player II wins if  $y = \bigcup_{n < \omega} t_n \in \omega^\omega$  and  $f(y) \subseteq \bigcup_{n < \omega} c_n$ .

Clearly, the game  $G'(a)$  is Borel and therefore determined. If Player II has a winning strategy in  $G'(a)$ , then she has a winning strategy in  $G(a)$  and we are done. Thus, we only need to derive a contradiction from the assumption that Player I has a winning strategy in  $G'(a)$ .

Well, suppose  $\sigma$  is such a winning strategy. We construct a strategy for Player I in  $G(a)$  as follows. The first move  $b_0 = \sigma(\emptyset)$  does not change. Suppose Player I is going to make her move after the sets  $b_0, c_0, \dots, b_n, c_n$  have been chosen. For each possible choice of the sequences  $t_m$  for  $m < n$  consider a run of  $G'(a)$  in which Player I plays according to  $\sigma$  and Player II plays the pairs  $(b'_m, t_m)$ , where  $b'_m$  are the intervals  $b_m$  adjusted downward to the previous move of Player I. The next move of Player I is now the union of all finitely many moves the strategy  $\sigma$  dictates against such runs in  $G'(a)$ . It is not difficult to see that this is a winning strategy for Player I in the original game  $G$ . However, Player I cannot have a winning strategy in the game  $G$  since Player II could immediately steal it and win herself.  $\square$

Second, consider the collection  $F$  of those subsets  $a \subseteq \omega^{<\omega}$  such that there is no tree  $T \in Q(J)$  whose splitnodes all fall into  $a$ .

**Lemma 3.15.** *The collection  $F$  is an ideal.*

*Proof.* The collection  $F$  is certainly hereditary. To prove the closure under unions, let  $a = a_0 \cup a_1$  be a partition of the set of all splitnodes

of a  $Q(J)$  tree into two parts. We must show that one part contains all splitnodes of some  $Q(J)$  tree. For  $i \in 2$  build rank functions  $\text{rk}_i : a_i \rightarrow \text{Ord} \cup \{\infty\}$  by setting  $\text{rk}_i \geq 0$  and  $\text{rk}_i(t) \geq \alpha + 1$  if the set  $\{n \in \omega : t \hat{\cap} n \text{ has an extension } s \text{ in } a_i \text{ such that } \text{rk}_i(s) \geq \alpha\}$  is  $J$ -positive. If the rank  $\text{rk}_i$  of any splitnode is  $\infty$  then the nodes whose rank  $\text{rk}_i$  is  $\infty$  form a set of splitnodes of a tree in  $Q(J)$ , contained in  $a_i$ . Thus, it is enough to derive a contradiction from the assumption that no node has rank  $\infty$ .

Observe that if  $t \in a$  is a node with  $\text{rk}_i(t) < \infty$ , then there is  $n \in \omega$  such that  $a$  contains nodes extending  $t \hat{\cap} n$ , but all of them either have rank less than  $\text{rk}_i(t)$  or do not belong to  $a_i$ . Thus, one can build a finite sequence of nodes on which the rank decreases and the last one has no extension in the set  $a_i$ . Repeating this procedure twice, we will arrive at a node of the set  $a$  which belongs to neither of the sets  $a_0$  or  $a_1$ , reaching a contradiction.  $\square$

Now suppose that  $J \upharpoonright a \not\leq_K \text{SPL}$  for every  $J$ -positive set  $a$ . Let  $T \in Q(J)$  be a condition and  $\dot{y}$  be a  $Q(J)$ -name for a subset of  $\omega$ . We must prove that  $\dot{y}$  is not a name for an independent real. That is, we must find an infinite set  $b \subseteq \omega$  as well as a condition  $S \leq T$  forcing  $\dot{y} \upharpoonright \check{b}$  to be constant. The construction proceeds in several steps.

First, construct a tree  $T' \subseteq T$  and an infinite set  $b \subseteq \omega$  such that for every splitnode  $t \in T'$  there is a bit  $c_t \in 2$  such that for all but finitely many  $n \in b$ , for all but finitely many immediate successors  $s$  of  $t$  in  $T'$  we have

$$T' \upharpoonright s \Vdash \dot{y}(n) = c_t.$$

To do this, enumerate  $\omega^{<\omega}$  as  $\langle t_i : i \in \omega \rangle$ , respecting the initial segment relation, and by induction on  $i \in \omega$  construct a descending sequence of trees  $T_i \subseteq T$ , sets  $b_i \subseteq \omega$ , and bits  $c_{t_i} \in 2$  as follows:

- if  $t_i$  is not a splitnode of  $T_i$ , then do nothing and let  $T_{i+1} = T_i$ ,  $b_{i+1} = b_i$  and  $c_{t_i} = 0$ ;
- if  $t_i$  is a splitnode of  $T_i$ , then for each  $j \in \text{succ}_{T_i}(t_i)$  find a tree  $S_j \leq T_i \upharpoonright t_i \hat{\cap} j$  deciding  $\dot{y} \upharpoonright j$ , and use the Katětov assumption to find a  $J$ -positive set  $a \subseteq \text{succ}_{T_i}(t_i)$ , a bit  $c_{t_i} \in 2$ , and an infinite set  $b_{i+1} \subseteq b_i$  such that whenever  $j \in a$  and  $n \in b_{i+1} \cap j$  then  $S_j \Vdash \dot{y}(n) = c_{t_i}$ . Let  $T_{i+1} = T_i$ , except below  $t_i$  replace  $T_i \upharpoonright t_i$  with  $\bigcup_{j \in a} S_j$ .

In the end, let  $T' = \bigcap_{i < \omega} T_i$  and let  $b$  be any diagonal intersection of the sets  $b_i$ .

The second step uses Lemma 3.15 to stabilize the bit  $c_t$ . Find a condition  $T'' \subseteq T'$  such that for every splitnode  $t \in T''$ ,  $c_t$  is the same value, say 0.

The last step contains a fusion argument. For every splitnode  $t \in T''$  fix a winning strategy  $\sigma_t$  for Player II in the game  $G(\text{succ}_{T''}(t))$ . By induction on  $i \in \omega$  build sets  $S_i \subseteq T''$ , functions  $f_i$  on  $S_i$ , and numbers  $n_i \in b$  so that

- $S_0 \subseteq S_1 \subseteq \dots$ , and in fact  $S_{i+1}$  contains no initial segments of nodes in  $S_i$  that would not be included in  $S_i$  already. The final condition will be a tree  $S$  whose set of splitnodes is  $\bigcup_{i < \omega} S_i$ ;
- for every node  $s \in S_i$ , the value  $f_i(s)$  is a finite run of the game  $G(\text{succ}_{T''}(s))$  according to the strategy  $\sigma_s$ , in which the union of the moves of the second player equals  $\{j \in \omega : \exists t \in S_i \ s \hat{\ } j \subseteq t\}$ . Moreover,  $f_i(s) \subseteq f_{i+1}(s) \subseteq \dots$ . This will ensure that every node in  $\bigcup_{i < \omega} S_i$  in fact splits into  $J$ -positively many immediate successors in the tree  $S$ ;
- whenever  $s \in S_i$  and  $j \in \omega$  is the least such that  $s \in S_j$ , then  $T'' \upharpoonright s \Vdash \forall k \in j \ \dot{y}(n_k) = 0$ . This will ensure that in the end we have  $S \Vdash \forall i < \omega \ \dot{y}(n_i) = 0$ .

The induction step is easy to perform. Suppose that  $S_i, f_i$  and  $n_j$  have been found for  $j < i$ . Let  $n_i \in b$  be a number such that for all  $s \in S_i$  for all but finitely many  $n \in \text{succ}_{T''}(s)$  we have

$$T'' \upharpoonright s \hat{\ } n \Vdash \dot{y}(n_i) = 0.$$

For every node  $s \in S_i$ , let  $d_s$  be a finite set such that for all  $n \in \text{succ}_{T''}(s) \setminus d_s$  and for all  $j \leq i$

- $T'' \upharpoonright s \hat{\ } n \Vdash \dot{y}(n_j) = 0$
- and  $s \hat{\ } n$  is not an initial segment of any node in  $S_i$ .

Extend the run  $f_i(s)$  to  $f_{i+1}(s)$  such that the new moves by Player II contain no numbers in the set  $d_s$ .

Put into  $S_{i+1}$  all nodes from  $S_i$  as well as every  $t$  which is the smallest splitnode of  $T''$  above some  $s \hat{\ } j$  where  $j$  is one of the new numbers in the set answered by Player II in  $f_{i+1}(s)$ .

In the end put  $S = \bigcup_{i < \omega} S_i$ . It follows from the construction that  $S \Vdash \forall i < \omega \ \dot{y}(n_i) = 0$ , as desired. □

We finish this section with an observation about continuous degrees of reals in  $Q(J)$  generic extensions.

**Definition 3.16.** We say that  $J$  has the *discrete set property* if for every  $J$ -positive set  $a$  and every function  $f : a \rightarrow X$  into a Polish space, there is a  $J$ -positive set  $b \subseteq a$  such that the set  $f''b$  is discrete.

Obviously, the discrete set property is equivalent to being not Katětov above the family of discrete subsets of  $\mathbb{Q}$ . It is not difficult to show that it is also equivalent to being not above the ideal of those subsets of the ordinal  $\omega^\omega$  which do not contain a topological copy of the ordinal  $\omega^\omega$ .

**Proposition 3.17.** *Suppose  $J$  has the discrete set property. Then  $Q(J)$  adds one continuous degree.*

*Proof.* Let  $T$  be a condition in  $Q(J)$  and  $f : [T] \rightarrow \omega^\omega$  a continuous function. It is enough to find a tree  $S \in Q(J)$ ,  $S \leq T$  such that on  $[S]$  the function  $f$  is either constant, or is a topological embedding. Suppose that  $f$  is not constant on any such  $[S]$ . By an easy fusion argument we build  $S \subseteq T$ ,  $S \in Q(J)$  such that for any splitnode  $s$  of  $S$  there are pairwise disjoint open sets  $U_i$  for  $i \in \text{succ}_S(s)$  such that  $f''[S \upharpoonright s \frown i] \subseteq U_i$  for each  $i \in \text{succ}_S(s)$ . This implies that  $f$  is a topological embedding on  $[S]$ .  $\square$

#### 4. CLOSURE IDEALS

In this section  $X$  is a Polish space with a complete metric,  $I$  a  $\sigma$ -ideal on  $X$  and  $\mathcal{O}$  a countable topology basis for the space  $X$ .

**Definition 4.1.** For a set  $a \subseteq \mathcal{O}$ , define

$$\text{cl}(a) = \{x \in X : \forall \varepsilon > 0 \exists O \in a \quad O \subseteq B_\varepsilon(x)\},$$

where  $B_\varepsilon(x)$  stands for the ball centered at  $x$  with radius  $\varepsilon$ . We write

$$J_I = \{a \subseteq \mathcal{O} : \text{cl}(a) \in I\}.$$

It is immediate that the collection  $J_I$  is an ideal and that  $J_I$  is *dense*<sup>1</sup>, i.e. every infinite set in  $\mathcal{O}$  contains an infinite subset in  $J_I$ . If the  $\sigma$ -ideal  $I$  is  $\mathbf{\Pi}_1^1$  on  $\mathbf{\Sigma}_1^1$ , then  $J_I$  is coanalytic. On the other hand, if  $X$  is compact and  $J_I$  is analytic, then it follows from the Kechris Louveau Woodin theorem [6, Theorem 11] that  $J_I$  is  $\mathbf{F}_{\sigma\delta}$ .

**Definition 4.2.** An ideal  $J$  on a countable set is *weakly selective* if for every  $J$ -positive set  $a$ , any function on  $a$  is either constant or 1-1 on a positive subset of  $a$ .

<sup>1</sup>some authors prefer the term *tall*

Obviously, this is just a restatement of the fact that the ideal is not Katětov above the ideal on  $\omega \times \omega$  generated by vertical lines and graphs of functions.

**Proposition 4.3.**  *$J_I$  is weakly selective.*

*Proof.* Take a  $J_I$ -positive set  $a$  and  $f : a \rightarrow \omega$ . Suppose that  $f$  is not constant on any  $J_I$ -positive subset of  $a$ . We must find  $b \subseteq a$  such that  $f$  is 1-1 on  $b$ . Write  $Y$  for  $\text{cl}(a)$  shrunk by the union of all basic open sets  $U$  such that  $\text{cl}(a) \cap U \in I$ . Enumerate all basic open sets which have nonempty intersection with  $Y$  into a sequence  $\langle U_n : n < \omega \rangle$ . Inductively pick a sequence  $\langle O_n \in a : n < \omega \rangle$  such that  $O_n \subseteq U_n$  and  $f(O_n) \neq f(O_i)$  for  $i < n$ . Suppose that  $O_i$  are chosen for  $i < n$ . Let  $Y_n = Y \cap U_n$ . This is an  $I$ -positive set and hence  $a_n = \{O \in a : O \subseteq U_n\}$  is  $J_I$ -positive. Note that  $f$  assumes infinitely many values on  $a_n$  since otherwise we could find  $J_I$ -positive  $b \subseteq a_n$  on which  $f$  is constant. Pick any  $O_n \in a_n$  such that  $f(O_n) \notin \{f(O_i) : i < n\}$ . Now, the set  $b = \{O_n : n < \omega\}$  is  $J_I$ -positive since  $\text{cl}(b)$  contains  $Y$ .  $\square$

Not every ideal on a countable set can be represented as  $J_I$  for a  $\sigma$ -ideal  $I$  on a Polish space. The existence of such  $I$  can be thought of as an external property, which brings some additional setting. It would be interesting to find out what “internal” properties of an ideal can witness existence of this “external”  $\sigma$ -ideal.

It follows from Hrušák’s Category Dichotomy [3, Theorem 5.20] that if a Borel ideal  $J$  is weakly selective, then it is Katětov below the ideal NWD, and in fact, one can find an identification of  $\text{dom}(J)$  and  $2^{<\omega}$  so that the ideal embeds into NWD via this identification. The ideal NWD is of the form  $J_I$  when  $I$  is the  $\sigma$ -ideal of meager sets on  $2^\omega$ . Motivated by the result of Hrušák we conjecture the following.

**Conjecture 4.4.** If  $J$  is a dense  $\mathbf{F}_{\sigma\delta}$  weakly selective ideal on  $\omega$ , then there exists a Polish space with a countable base  $\mathcal{O}$  and a  $\sigma$ -ideal  $I$  on  $X$  such that under some identification of  $\omega$  and  $\mathcal{O}$  the ideal  $J$  becomes  $J_I$ .

We will now verify several Katětov properties of the ideal  $J_I$  depending on the forcing properties of  $P_I$ .

**Proposition 4.5.** *Suppose that  $P_I$  is a proper and  $\omega^\omega$ -bounding notion of forcing. Then the ideal  $J_I$  has the discrete set property.*

*Proof.* Take a  $J_I$ -positive set  $a$  and a function  $f : a \rightarrow \mathbb{Q}$ . Let  $B = \text{cl}(a)$ . Let  $\langle \dot{O}_n : n \in \omega \rangle$  be a sequence of  $P_I$ -names for open sets in  $a$  such that  $\dot{O}_n$  is forced to be wholly contained in the  $2^{-n}$ -neighborhood

of the  $P_I$ -generic point in  $B$ . Passing to a subsequence and a subset of  $a$  if necessary, we may assume that the sets  $\dot{O}_n$  are pairwise distinct

**Case 1.** Assume the values  $\{f(\dot{O}_n) : n \in \omega\}$  are forced not to have any point in the range of  $f$  as a limit point. Use the  $\omega^\omega$ -bounding property of the forcing  $P_I$  to find a condition  $B' \subseteq B$ , a sequence of finite sets  $\langle a_n : n \in \omega \rangle$  and numbers  $\varepsilon_n > 0$  such that

- $B' \Vdash \forall m < \omega \exists n < \omega \dot{O}_m \in \check{a}_n$ ;
- the collection  $\{B_{\varepsilon_n}(f(O)) : O \in a_n, n \in \omega\}$  consists of pairwise disjoint open balls.

To see how this is possible, note that  $B$  forces that for every point  $y \in f''a$  there is an  $\varepsilon > 0$  such that all but finitely many points of the sequence  $\langle f(O_m) : m \in \omega \rangle$  have distance greater than  $\varepsilon$  from  $y$ .

Now let  $b = \bigcup_{n < \omega} a_n$ . Let  $M$  be a countable elementary submodel of a large enough structure and let  $B'' \subseteq B'$  be a Borel  $I$ -positive set consisting only of generic points over  $M$ . It is not difficult to observe that  $B \subseteq \text{cl}(b)$  and therefore the set  $b$  is as required.

**Case 2.** If the values  $\{f(\dot{O}_n) : n \in \omega\}$  can be forced to have a point in the range of  $f$  as a limit point, then, possibly shrinking the set  $a$  we can force the sequence  $\langle f(\dot{O}_n) : n \in \omega \rangle$  to be convergent and not eventually constant, hence discrete. Similarly as in Case 1, we find  $b \subseteq a$  such that  $f''b$  is discrete.  $\square$

**Proposition 4.6.** *Suppose that  $P_I$  is a proper and outer Lebesgue measure preserving notion of forcing. Then  $J_I$  has the Fubini property.*

*Proof.* Suppose that  $\varepsilon > 0$  is a real number,  $a \subseteq \mathcal{O}$  is a  $J_I$ -positive set, and  $D \subseteq a \times 2^\omega$  is a Borel set with vertical sections of measure at most  $\varepsilon$ . Assume for contradiction that the outer measure of the set  $\int_a D dJ$  is greater than  $\varepsilon$ . Let  $B = \text{cl}(a)$ . This condition forces that there is a sequence  $\langle \dot{O}_n : n \in \omega \rangle$  of sets in  $a$  such that  $O_n$  is wholly contained in in the  $2^{-n}$ -neighborhood of the generic point. Let  $\dot{C}$  be a name for the set  $\{z \in 2^\omega : \exists^\infty n < \omega y \notin \dot{O}_n\}$ . This is a Borel set of measure greater than or equal to  $1 - \varepsilon$ . Since the forcing  $P_I$  preserves the outer Lebesgue measure, there must be a condition  $B' \subseteq B$  and a point  $z \in \int_a D dJ$  such that  $B' \Vdash \check{z} \in \dot{C}$ . Consider the set  $b = \{O \in a : z \notin O\}$ . The set  $\text{cl}(b)$  must be  $I$ -positive, since the condition  $B'$  forces the generic point to belong to it. This, however, contradicts the assumption that  $z \in \int_a D dJ$ .  $\square$

Finally we examine the property of adding Cohen reals.

**Proposition 4.7.** *Suppose that  $P_I$  is proper and does not add Cohen reals. Then  $Q(J_I)$  does not add Cohen reals.*

*Proof.* We begin with a lemma.

**Lemma 4.8.** *Let  $a \subseteq \mathcal{O}$  be  $J_I$ -positive and  $f : a \rightarrow 2^\omega$  be a function. There is a  $J_I$ -positive set  $b \subseteq a$  and a closed nowhere dense set  $N \subseteq 2^\omega$  such that for each  $\varepsilon > 0$  if  $b_\varepsilon = \{O \in b : f(O) \in B_\varepsilon(N)\}$ , then  $\text{cl}(b_\varepsilon) = \text{cl}(b)$ .*

*Proof.* Write  $C = \text{cl}(a)$ . We pick a sequence of names  $\langle \dot{O}_n : n < \omega \rangle$  for elements of  $a$  such that  $C$  forces that

- $\dot{O}_n$  is contained in the  $2^{-n}$ -neighborhood of the generic point,
- the sequence  $\langle f(\dot{O}_n) : n < \omega \rangle$  is convergent in  $2^\omega$ .

Let  $\dot{z}$  be a name for  $\lim_{n \rightarrow \infty} f(\dot{O}_n)$ . Since  $P_I$  does not add Cohen reals, there is a closed nowhere dense set  $N \subseteq 2^\omega$  and Borel  $I$ -positive  $B \subseteq C$  such that  $B \Vdash \dot{z} \notin \check{N}$ . Without loss of generality assume that  $B$  consists only of generic points over a sufficiently big countable elementary submodel  $M \prec H_\kappa$ . Let  $b$  be the set of all  $O \in a$  such that  $O$  is  $\dot{O}_n$  evaluated in  $M[g]$  for some  $n < \omega$  and some  $g \in B$ . Now if  $\varepsilon > 0$ , then clearly  $\text{cl}(b_\varepsilon) = B$  since all but finitely many  $f(\dot{O}_n)$  are forced into  $B_\varepsilon(N)$ .  $\square$

Suppose  $T \in Q(J_I)$  is a condition and  $\dot{x}$  is a name for a real. Without loss of generality assume that  $T \Vdash \dot{x} = f(\dot{g})$  for some continuous function  $f : [T] \rightarrow 2^\omega$ . For each  $t \in \text{split}(T)$  and each  $O \in \text{succ}_T(t)$  pick a branch  $b_{t,O} \in [T]$  extending  $t \hat{\ } O$ . By Lemma 4.8 we can assume that for each splitnode  $t \in T$  we have  $\text{succ}_T(t) \notin J_I$  and there is a closed nowhere dense set  $N_t \subseteq 2^\omega$  such that for each  $\varepsilon > 0$  we have

$$\text{cl}(\{O \in \text{succ}_T(t) : f(b_{t,O}) \in B_\varepsilon(N_t)\}) = \text{cl}(\text{succ}_T(t)).$$

For each  $t \in \text{split}(T)$  fix an enumeration  $\langle V_t^n : n < \omega \rangle$  of all basic open sets which have nonempty intersection with  $\text{cl}(\text{succ}_T(t))$ . Enumerate all nonempty basic open subsets of  $2^\omega$  into a sequence  $\langle U_n : n < \omega \rangle$ .

By induction on  $n < \omega$ , we build increasing finite sets  $S_n \subseteq \text{split}(T)$ , decreasing trees  $T_n \leq T$  and nonempty clopen sets  $U'_n \subseteq U_n$  such that for each  $n < \omega$  the following hold:

- $S_n \subseteq T_n$ ,
- for each  $t \in \text{split}(T_n)$  we have  $\text{cl}(\text{succ}_{T_n}(T)) = \text{cl}(\text{succ}_T(t))$ ,
- for each  $s \in S_n$  there is  $t \supseteq s$  such that  $t \in S_{n+1}$  and  $t \restriction |s| \subseteq V_s^n$ ,
- for each  $s \in S_n$  and  $O \in \text{succ}_{T_n}(s)$  we have  $b_{s,O} \in [T_n]$ ,
- for each  $s \in S_n$  for each  $x \in [T_n \restriction s]$  we have  $f(x) \notin \bigcup_{k < n} U'_k$ .



We set  $S_0 = \emptyset$  and  $T_0 = T$ . Suppose  $S_n$  and  $T_n$  are constructed. For each  $s \in S_n$  find  $\varepsilon_s > 0$  such that

$$W = U_{n+1} \setminus \bigcup_{s \in S_n} B_{\varepsilon_s}(N_s) \neq \emptyset$$

and  $O_s \in \text{succ}_{T_n}(s)$  such that  $O_s$  is contained in  $V_s^n$ . Next, find  $a_s \subseteq \text{succ}_{T_n}(s)$  such that  $\text{cl}(a_s) = \text{cl}(\text{succ}_{T_n}(s))$  and  $f(b_{O,s}) \in B_{\varepsilon_s}(N_s)$  for each  $O \in a_s$ . For each  $s \in S_n$  and  $O \in a_s \setminus \{O_s\}$  find  $k_{s,O} < \omega$  such that

$$f''[T_n \upharpoonright (b_{s,O} \upharpoonright k_{s,O})] \subseteq B_{\varepsilon_s}(N_s).$$

Find  $U'_{n+1} \subseteq W$  which does not contain any of the finitely many points  $\{f(b_{s,O_s}) : s \in S_n\}$ . For each  $s \in S_n$  find  $k_{s,O_s} < \omega$  such that

$$f''[T_n \upharpoonright (b_{s,O_s} \upharpoonright k_{s,O_s})] \cap U'_{n+1} = \emptyset.$$

To obtain the tree  $T_{n+1}$ , extend each  $s \in S_{n+1}$  by  $T_n \upharpoonright (b_{s,O} \upharpoonright k_{s,O})$  above each  $s \frown O$  for each  $O \in \{O_s\} \cup a_s$ . Put into  $S_{n+1}$  all nodes from  $S_n$  as well as the first splitnodes of  $T_{n+1}$  above each  $s \frown O_s$  for  $s \in S_n$ . This ends the construction.

Now the set  $U = \bigcup_{n < \omega} U'_n$  is dense open and  $T = \bigcap_{n < \omega} T_n$  is a condition in  $Q(J_I)$  with the set of splitnodes  $\bigcup_{n < \omega} S_n$ . By the construction we have that  $T \Vdash \dot{x} \notin U$ , which implies that  $\dot{x}$  is not a name for a Cohen real. This ends the proof.  $\square$

**Corollary.** *If  $I$  is such that  $P_I$  is proper and does not add Cohen reals, then  $J_I \upharpoonright a \not\leq_K \text{NWD}$  for any  $J_I$ -positive set  $a$ .*

## 5. TREE REPRESENTATION

In this section we show that under suitable assumptions the forcing  $P_{I^*}$  is equivalent to the tree forcing  $Q(J_I)$ .

**Definition 5.1.** Let  $J$  be an ideal on  $\mathcal{O}$  and  $T \in Q(J)$ . We say that  $T$  is *Luzin* if the sets on the  $n$ -th level have diameter less than  $2^{-n}$  and for each  $t \in T$  the immediate successors of  $t$  in  $T$  are pairwise disjoint. If  $T$  is Luzin, then we write  $\pi[T]$  for  $\{\bigcap_{n < \omega} x(n) : x \in [T]\}$ .

**Proposition 5.2.** *Let  $I$  be a  $\sigma$ -ideal on a Polish space  $X$ . If  $T \in Q(J_I)$  is Luzin, then  $\pi(T) \in P_{I^*}$ .*

*Proof.* The set  $\pi[T]$  is a 1-1 continuous image of  $[T]$ , which is a Polish space, hence  $\pi[T]$  is Borel. To see that  $\pi[T]$  is  $I^*$ -positive consider the function  $\varphi : [T] \rightarrow X$  which assigns to any  $x \in [T]$  the single point in  $\bigcap_{n < \omega} x(n)$ . Note that  $\varphi$  is continuous since the diameters of open sets on  $T$  vanish to 0. Now if  $\pi[T] \subseteq \bigcup_{n < \omega} E_n$  where each  $E_n$  is closed and

belongs to  $I$ , then  $\varphi^{-1}(E_n)$  are closed sets covering the space  $[T]$ . By the Baire category theorem, one of them must have nonempty interior. So there is  $n < \omega$  and  $t \in T$  such that every immediate successor of  $t$  in  $T$  belongs to  $\varphi^{-1}(E_n)$ . Now for each  $u \in \text{succ}_T(t)$  we have  $u \cap E_n \neq \emptyset$ , which implies that  $\text{cl}(\text{succ}_T(t)) \subseteq E_n$  and contradicts the fact that  $\text{cl}(\text{succ}_T(t))$  is  $I$ -positive.  $\square$

The following proposition, combined with the propositions proved in the previous section, gives Theorems 1.2, 1.3, 1.4 and 1.5 from the introduction (recall that the Cohen forcing adds an independent real and does not preserve outer Lebesgue measure).

**Proposition 5.3.** *Suppose  $I$  is a  $\sigma$ -ideal on a Polish space  $X$  such that the poset  $P_I$  is proper and is not equivalent to the Cohen forcing under any condition. For any  $B \in P_{I^*}$*

- *either  $I^*$  and  $I$  contain the same Borel sets below  $B$ ,*
- *or there is  $C \in P_{I^*}$  below  $B$  such that below  $C$  the forcing  $P_{I^*}$  is equivalent to  $Q(J_I)$ .*

*Proof.* Suppose that  $B \subseteq X$  is a Borel set which belongs to  $I$  but not to  $I^*$ . Assume also that  $B$  forces that the generic point is not a Cohen real. By the Solecki theorem [9, Theorem 1], we may assume that  $B$  is a  $\mathbf{G}_\delta$  set and for every open set  $O \subseteq X$ , if  $B \cap O \neq \emptyset$ , then  $B \cap O \notin I^*$ . Represent  $B$  as a decreasing intersection  $\bigcap_{n < \omega} O_n$  of open sets.

We build a Luzin scheme  $T$  of basic open sets  $U_t$  for  $t \in \omega^{<\omega}$  satisfying the following demands:

- $U_t \subseteq O_{|t|}$  and  $U_t \cap B \neq \emptyset$ ,
- the sets in  $\text{succ}_T(t)$  have pairwise disjoint closures and are disjoint from  $\text{cl}(\text{succ}_T(t))$ , which is an  $I$ -positive set.

To see how this is done, suppose that  $U_t$  are built for  $t \in \omega^{\leq n}$  and take any  $t \in \omega^n$ . The set  $\text{cl}(B \cap U_t)$  is  $I$ -positive, and since the  $P_I$ -generic real is not forced to be a Cohen real, there is a closed nowhere dense  $I$ -positive subset  $C$  of  $\text{cl}(B \cap U_t)$ . Find a discrete set  $D = \{d_n : n < \omega\}$  such that  $D \subseteq B \cap U_t$  and  $C \subseteq \text{cl}(D)$ . For each  $n < \omega$  find a basic open neighborhood  $V_n \subseteq U_t \cap O_{|t|+1}$  of  $d_n$  such that the closures of the sets  $V_n$  are pairwise disjoint, disjoint from  $C$  and  $C \subseteq \text{cl}(\{V_n : n < \omega\})$ . Put  $U_{t \frown n} = V_n$ .

Let  $T \in Q(J)$  be the Luzin scheme constructed above. Clearly,  $T$  is Luzin, as well as each  $S \in Q(J_I)$  such that  $S \leq T$ . For each  $S \leq T$  the set  $\pi(S) \subseteq \pi(T)$  is Borel and  $I^*$ -positive by Proposition 5.2. We will complete the proof by showing that the range of  $\pi$  is a dense subset of  $P_{I^*}$  below the condition  $\pi(T)$ .

For  $C \subseteq B$  which is an  $I^*$ -positive set we must produce a tree  $S \in Q(J)$ ,  $S \subseteq T$ , such that  $\pi[S] \subseteq C$ . By the Solecki theorem we may assume that the set  $C$  is  $\mathbf{G}_\delta$ , a decreasing intersection  $\bigcap_{n < \omega} W_n$  of open sets and for every open set  $O \subseteq X$  if  $O \cap C \neq \emptyset$ , then  $O \cap C \notin I$ .

By tree induction build a tree  $S \subseteq T$  such that for every sequence on  $n$ -th splitting level, the last set on the sequence is a subset of  $W_n$ , and still has nonempty intersection with the set  $C$ . In the end, the tree  $S \subseteq T$  will be as required.

Now suppose that immediate successors of nodes on the  $n$ -th splitting level have been constructed. Let  $t$  be one of these successors. Find its extension  $s \in T$  such that the last set  $O$  on it is a subset of  $W_{n+1}$  and still has nonempty intersection with  $C$ . Note that

$$\text{cl}(\pi[T]) \subseteq \pi[T] \cup \bigcup_{u \in T} \text{cl}(\text{succ}_T(u)).$$

Since  $\text{cl}(C \cap O) \notin I$  and  $\pi[T] \subseteq B \in I$ , this means that there must be an extension  $u$  of  $s$  such that  $\text{cl}(C \cap O) \cap \text{cl}(\text{succ}_T(u)) \notin I$ . This can only happen if the set  $b = \{V \in a_u : V \cap C \neq \emptyset\}$  is  $J$ -positive, since  $\text{cl}(C \cap O) \cap \text{cl}(\text{succ}_T(u)) \subseteq \text{cl}(b)$ . Put all nodes  $\{u \hat{\ } V : V \in b\}$  into the tree  $S$  and continue the construction.  $\square$

## 6. THE CASES OF MILLER AND SACKS

In this section we prove Proposition 1.6. This depends on a key property of the Miller and Sacks forcings.

**Lemma 6.1.** *Suppose  $X$  is a Polish space,  $B \subseteq X$  is a Borel set,  $T$  is a Miller or a Sacks tree and  $\dot{x}$  is a Miller or Sacks name for an element of the set  $B$ . Then there is  $S \subseteq T$  and a closed set  $C \subseteq X$  such that  $C \setminus B$  is countable, and  $S \Vdash \dot{x} \in \check{C}$ .*

*Proof.* For the Sacks forcing it is obvious and we can even require that  $C \subseteq B$ . Let us focus on the Miller case.

Strengthening the tree  $T$  if necessary, we may assume that there is a continuous function  $f : [T] \rightarrow B$  such that  $T \Vdash \dot{x} = f(\dot{g})$ . The problem of course is that the set  $f''[T]$  may not be closed, and its closure may contain many points which do not belong to the set  $B$ .

For every splitnode  $t \in T$  and for every  $n \in \text{succ}_T(t)$  pick a branch  $b_{t,n} \in [T]$  such that  $t \hat{\ } n \subseteq b_{t,n}$ . Next, find an infinite set  $a_t \subseteq \text{succ}_T(t)$  such that the points  $\{f(b_{t,n}) : n \in a_t\}$  form a discrete set with at most one accumulation point  $x_t$ . For  $n \in a_t$  find numbers  $m_{t,n} \in \omega$  and pairwise disjoint open sets  $O_{t,n}$  such that  $f''[T \upharpoonright (b_{t,n} \upharpoonright m_{t,n})] \subseteq O_{t,n}$ . Find a subtree  $S \subseteq T$  such that for every splitnode  $t \in S$ , if  $t \hat{\ } n \in S$ ,

then  $n \in a_t$  and the next splitnode of  $S$  past  $t \hat{\ } n$  extends the sequence  $b_{t,n} \upharpoonright m_{t,n}$ .

It is not difficult to see that  $\text{cl}(f''[S]) \subseteq f''[S] \cup \{x_t : t \in \omega^{<\omega}\}$ , and therefore the tree  $S$  and the closed set  $C = \text{cl}(f''[S])$  are as needed.  $\square$

Of course, in the previous lemma,  $B$  may be in any sufficiently absolute pointclass, like  $\Sigma_2^1$ . Proposition 1.6 now immediately follows.

*Proof of Proposition 1.6.* If the  $\sigma$ -ideal  $I$  does not contain the same Borel sets as  $I^*$ , then any condition  $B \in I \setminus I^*$  forces in  $P_I$  the generic point into  $B$  but outside of every closed set in the  $\sigma$ -ideal  $I$ . However, by Lemma 6.1 we have that if the Miller or the Sacks forcing forces a point into a Borel set in a  $\sigma$ -ideal, then it forces that point into a closed set in that  $\sigma$ -ideal. Thus,  $P_I$  cannot be in the forcing sense equivalent neither to Miller nor to Sacks forcing in the case that  $I \neq I^*$ .  $\square$

## 7. BOREL DEGREES

In this section we prove Theorem 1.7. To this end, we need to learn how to turn Borel functions into functions which are continuous and open.

**Lemma 7.1.** *Suppose  $I$  is a  $\sigma$ -ideal on a Polish space  $X$  such that  $P_I$  is proper. Let  $B$  be Borel  $I$ -positive, and  $f : B \rightarrow \omega^\omega$  be Borel. For any countable elementary submodel  $M \prec H_\kappa$  the set*

$$f''\{x \in B : x \text{ is } P_I\text{-generic over } M\}$$

*is Borel.*

*Proof.* Without loss of generality assume that  $B = X$ . Let  $\dot{y}$  be a  $P_I$ -name for  $f(\dot{g})$ , where  $\dot{g}$  is the canonical name for the generic real for  $P_I$ . Take  $R \subseteq \text{ro}(P_I)$  the complete subalgebra generated by  $\dot{y}$ . Notice that for each  $y \in \omega^\omega$  we have

$$y \in f''C \quad \text{iff} \quad y \text{ is } R\text{-generic over } M.$$

Hence, it is enough to prove that  $C' = \{y \in \omega^\omega : y \text{ is } R\text{-generic over } M\}$  is Borel.  $C'$  is a 1-1 Borel image of the set of ultrafilters on  $R \cap M$  which are generic over  $M$ . The latter set is  $\mathbf{G}_\delta$ , so  $C'$  is Borel.  $\square$

Now we show that Borel functions can be turned into continuous and open functions after restriction their domain and some extension of topology. If  $Y$  is a Polish space and  $I$  is a  $\sigma$ -ideal on  $Y$ , then we say that  $Y$  is  $I$ -perfect if  $I$  does not contain any nonempty open subset of  $Y$ .

**Proposition 7.2.** *Suppose  $I$  is a  $\sigma$ -ideal on a Polish space  $X$  such that  $P_I$  is proper. Let  $B \subseteq X$  be  $I$ -positive, and  $f : B \rightarrow \omega^\omega$  be Borel. There are Borel sets  $Y \subseteq B$  and  $Z \subseteq \omega^\omega$  such that  $Y$  is  $I$ -positive,  $f''Y = Z$  and*

- $Y$  and  $Z$  carry Polish zero-dimensional topologies which extend the original ones, preserve the Borel structures and the topology on  $Y$  is  $I$ -perfect.
- the function  $f \upharpoonright Y : Y \rightarrow Z$  is continuous and open in the extended topologies.

*Proof.* Fix  $\kappa$  big enough and let  $M \prec H_\kappa$  be a countable elementary submodel coding  $B$  and  $f$ . Let  $Y = \{x \in B : x \text{ is } P_I\text{-generic over } M\}$ . By Lemma 7.1 we have that  $Z = f''Y$  is Borel.

Note that if a  $\Sigma_\alpha^0$  set  $A$  is coded in  $M$ , then there are sets  $A_n$  coded in  $M$ ,  $A_n \in \mathbf{\Pi}_{<\alpha}^0$  such that  $A = \bigcup_n A_n$ . Therefore, we can perform the construction from [7, Theorem 13.1] and construct a Polish zero-dimensional topology on  $X$  which contains all Borel sets coded in  $M$ . Note that the Borel sets coded in  $M$  form a basis for this topology. Moreover,  $Y$  is homeomorphic to the set of ultrafilters in  $\text{st}(P_I \cap M)$  which are generic over  $M$ . So  $Y$  is a  $\mathbf{G}_\delta$  set in the extended topology. Let  $\tau$  be the restriction of this extended topology to  $Y$ . The fact that  $\tau$  is  $I$ -perfect on  $Y$  follows directly from properness of  $P_I$ .

Let  $\sigma$  be the topology on  $Z$  generated by the sets  $f''(Y \cap A)$  and their complements, for all  $A \subseteq B$  which are Borel and coded in  $M$ .

Now we prove that  $f \upharpoonright Y$  is a continuous open from  $(Y, \tau)$  to  $(Z, \sigma)$ . The fact that  $f$  is open follows right from the definitions. Now we prove that  $f$  is continuous. Fix a cardinal  $\lambda$  greater than  $2^{2^{|P_I|}}$  and a Borel set  $A$  coded in  $M$ .

**Lemma 7.3.** *Given  $x \in Y$  we have*

- $f(x) \in f''(A \cap Y)$  if and only if

$$M[x] \models \text{Coll}(\omega, \lambda) \Vdash \exists x' P_I\text{-generic over } M [x' \in A \wedge f(x) = f(x')],$$

- $f(x) \notin f''(A \cap Y)$  if and only if

$$M[x] \models \text{Coll}(\omega, \lambda) \Vdash \forall x' P_I\text{-generic over } M [x' \in A \Rightarrow f(x) \neq f(x')].$$

*Proof.* We prove only the first part. Note that in  $M$  there is a surjection from  $\lambda$  onto the family of all dense sets in  $P_I$  as well as sujections from  $\lambda$  onto each dense set in  $P_I$ . Therefore, if  $x \in Y$  and  $g \subseteq \text{Coll}(\omega, \lambda)$  is generic over  $M[x]$ , then in  $M[x][g]$  the formula

$$\exists x' P_I\text{-generic over } M [x' \in A \wedge f(x) = f(x')]$$

is analytic with parameters  $A$ ,  $f$  and a real which encodes the family  $\{D \cap M : D \in M \text{ is dense in } P_I\}$  and therefore it is absolute between  $M[x][g]$  and  $V$ . Hence

$$M[x][g] \models \exists x' P_I\text{-generic over } M [x' \in A \wedge f(x) = f(x')]$$

if and only if  $f(x) \in f^{-1}(f''(A \cap Y))$ .  $\square$

Now it follows from Lemma 7.3 and the forcing theorem that both sets  $Y \cap f^{-1}(f''(A \cap Y))$  and  $Y \cap f^{-1}(Z \setminus f''(A \cap Y))$  are in  $\tau$ . This proves that  $f$  is continuous.

We need to prove that  $Z$  with the topology  $\sigma$  is Polish. Note that it is a second-countable Hausdorff zero-dimensional space, so in particular metrizable. As a continuous open image of a Polish space,  $Z$  is Polish by the Sierpiński theorem [7, Theorem 8.19].

The fact that  $\sigma$  has the same Borel structure as the original one follows directly from Lemma 7.1.  $\square$

Now we are ready to prove Theorem 1.7.

*Proof of Theorem 1.7.* Let  $C \in P_I$  and  $\dot{x}$  be a name for a real such that

$$C \Vdash \dot{x} \text{ is not a Cohen real and } \dot{x} \notin V.$$

Without loss of generality assume that  $C = X$  and  $C \Vdash \dot{x} = f(\dot{g})$  for some continuous function  $f : X \rightarrow \omega^\omega$ . We shall find  $B \in P_I$  and a Borel automorphism  $h$  of  $\omega^\omega$  such that

$$B \Vdash h(f(\dot{g})) = \dot{g}.$$

Find Polish spaces  $Y \subseteq X$  and  $Z \subseteq \omega^\omega$  as in Proposition 7.2. Without loss of generality assume that  $Y = X$  and the extended topologies are the original ones (note that  $I$  is still generated by closed sets in any extended topology).

Now we construct  $T \in Q(J_I)$  and a Borel automorphism  $h$  of  $\omega^\omega$ . To this end we build two Luzin schemes  $U_t \subseteq X$  and  $C_t \subseteq \omega^\omega$  (for  $t \in \omega^{<\omega}$ ), both with the vanishing diameter property and such that

- $U_t$  is basic open and  $C_t$  is closed,
- $f''U_t \subseteq C_t$
- for each  $t \in \omega^{<\omega}$  the set  $\{U_{t \smallfrown k} : k < \omega\}$  is  $J_I$ -positive.

We put  $U_\emptyset = X$  and  $C_\emptyset = \omega^\omega$ . Suppose  $U_t$  and  $C_t$  are built for all  $t \in \omega^{<n}$ . Pick  $t \in \omega^{n-1}$ . Now  $f''U_t$  is an open set. Let  $K$  be the perfect kernel of  $f''U_t$ .  $K$  is nonempty since  $\dot{x}$  is forced not to be in  $V$ . Hence

$K$  is a perfect Polish space and  $U_t \Vdash \dot{x} \in K$ . Note that there is a closed nowhere dense  $N \subseteq K$  such that  $f^{-1}(N)$  is  $I$ -positive, since otherwise

$$U_t \Vdash \dot{x} \text{ is a Cohen real in } K.$$

Pick such an  $N$  and let  $M = f^{-1}(N)$ .  $N$  is closed nowhere dense in  $f''U_t$  too, so  $M$  is closed nowhere dense in  $U_t$  because  $f$  is continuous and open.

Enumerate all basic open sets in  $U_t$  having nonempty intersection with  $M$  into a sequence  $\langle V_k : k < \omega \rangle$ . Inductively pick clopen sets  $W_k \subseteq U_t$  and  $C_k \subseteq \omega^\omega$  such that

- $W_k \subseteq f^{-1}(C_k) \cap V_k$  is basic open,
- $C_k$  are pairwise disjoint,
- $f^{-1}(C_k)$  are disjoint from  $M$ .

Do this as follows. Suppose that  $W_i$  and  $C_i$  are chosen for  $i < k$ . Since  $f^{-1}(C_i)$  are disjoint from  $M$  and  $V_k \cap M \neq \emptyset$ , the set  $V_k \setminus \bigcup_{i < k} f^{-1}(C_i)$  is a nonempty clopen set. Pick  $x_k \in V_k \setminus \bigcup_{i < k} f^{-1}(C_i) \setminus M$ . Since  $f(x_k) \notin N \cup \bigcup_{i < k} C_i$ , there is a clopen neighborhood  $C_k$  of  $f(x_k)$  which is disjoint from  $N \cup \bigcup_{i < k} C_i$ . Let  $W_k$  be a basic neighborhood of  $x_k$  contained in  $f^{-1}(C_k) \cap V_k$ . Put  $U_{t \smallfrown k} = W_k$  and  $C_{t \smallfrown k} = C_k$ . Since  $M \subseteq \text{cl}(\{W_k : k < \omega\})$ , we have that  $\{U_{t \smallfrown k} : k < \omega\}$  is  $J_I$ -positive.

This ends the construction of  $T \in Q(J_I)$ . It is routine now to define a Borel automorphism  $h$  of  $\omega^\omega$  out of the sets  $U_\tau$  and  $C_\tau$  so that  $T \Vdash \dot{g} = h(f(\dot{g}))$ . This ends the proof. □

The above proof essentially uses the technique of topology extension and works for the Borel degrees but not for continuous degrees. For the Sacks and Miller forcing, however, we know that there is only one continuous degree added in the generic extension. Therefore the following question naturally appears.

**Question 7.4.** Let  $I$  be a  $\sigma$ -ideal generated by closed sets such that  $P_I$  does not add Cohen reals. Does  $P_I$  add one continuous degree?

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INSTYTUT MATEMATYCZNY UNIWERSYTETU WROCLAWSKIEGO, PL. GRUNWALDZKI 2/4, 50-384 WROCLAW, POLAND AND INSTITUT MITTAG-LEFFLER, AURAVÄGEN 17 SE-182 60 DJURSHOLM SWEDEN

*E-mail address:* `sabok@math.uni.wroc.pl`

INSTITUTE OF MATHEMATICS OF THE ACADEMY OF SCIENCES OF THE CZECH REPUBLIC, ŽITNÁ 25, CZ - 115 67 PRAHA 1, CZECH REPUBLIC AND DEPARTMENT OF MATHEMATICS UNIVERSITY OF FLORIDA, 358 LITTLE HALL PO BOX 118105 GAINESVILLE, FL 32611-8105, USA

*E-mail address:* `zapletal@math.cas.cz`