

# ON THE VISIBILITY OF PLANAR SETS

TUOMAS ORPONEN

ABSTRACT. Assume that  $E, K \subset \mathbb{R}^2$  are Borel sets with  $\dim_{\text{H}} K > 0$ . Is a positive dimensional part of  $K$  visible from some point in  $E$ ? Not necessarily, since  $E$  can be zero-dimensional, or  $E$  and  $K$  can lie on a common line. I prove that these are the only obstructions: if  $\dim_{\text{H}} E > 0$ , and  $E$  does not lie on a line, then there exists a point in  $E$  from which a  $(\dim_{\text{H}} K)/2$  dimensional part of  $K$  is visible. Applying the result with  $E = K$  gives the following corollary: if  $K \subset \mathbb{R}^2$  is Borel set, which does not lie on a line, then the set of directions spanned by  $K$  has Hausdorff dimension at least  $(\dim_{\text{H}} K)/2$ .

## 1. INTRODUCTION

This paper studies visibility and radial projections in the plane. Given  $p \in \mathbb{R}^2$ , define the radial projection  $\pi_p: \mathbb{R}^2 \setminus \{p\} \rightarrow S^1$  by

$$\pi_p(q) = \frac{p - q}{|p - q|}.$$

A Borel set  $K \subset \mathbb{R}^2$  will be called

- *invisible from  $p$* , if  $\mathcal{H}^1(\pi_p(K \setminus \{p\})) = 0$ , and
- *totally invisible from  $p$* , if  $\dim_{\text{H}} \pi_p(K \setminus \{p\}) = 0$ .

Above,  $\dim_{\text{H}}$  and  $\mathcal{H}^s$  stand for Hausdorff dimension and  $s$ -dimensional Hausdorff measure, respectively. I will only consider Hausdorff dimension in this paper, as many of the results below would be much easier for box dimension. The study of (in-)visibility has a long tradition in geometric measure theory. For many more results and questions than I can introduce here, see Section 6 of Mattila's survey [8]. The basic question is the following: given a Borel set  $K \subset \mathbb{R}^2$ , how large can the sets

$$\text{Inv}(K) = \{p \in \mathbb{R}^2 : K \text{ is invisible from } p\}$$

and

$$\text{Inv}_T(K) := \{p \in \mathbb{R}^2 : K \text{ is strongly invisible from } p\}$$

be? Clearly  $\text{Inv}_S(K) \subset \text{Inv}(K)$ , and one generally expects  $\text{Inv}_S(K)$  to be significantly smaller than  $\text{Inv}(K)$ . The existing results fall roughly into the following three categories:

- (1) What happens if  $\dim_{\text{H}} K > 1$ ?
- (2) What happens if  $\dim_{\text{H}} K \leq 1$ ?
- (3) What happens if  $0 < \mathcal{H}^1(K) < \infty$ ?

---

2010 *Mathematics Subject Classification.* 28A80 (Primary) 28A78 (Secondary).

*Key words and phrases.* Hausdorff dimension, fractals, radial projections, visibility.

T.O. is supported by the Academy of Finland via the project *Quantitative rectifiability in Euclidean and non-Euclidean spaces*, grant No. 309365.

Cases (1) and (3) are the most classical, having already been studied in the 1954 paper [6] of Marstrand. Given  $s > 1$ , Marstrand proved that any Borel set  $K \subset \mathbb{R}^2$  with  $0 < \mathcal{H}^s(K) < 1$  is visible (that is, not invisible) from Lebesgue almost every point  $p \in \mathbb{R}^2$ , and also from  $\mathcal{H}^s$  almost every point  $p \in K$ . Unifying Marstrand's results, the following sharp bound was recently established by Mattila and the author in [9] and [10]:

$$\dim_{\mathbb{H}} \text{Inv}(K) \leq 2 - s, \quad \dim_{\mathbb{H}} K = s > 1. \quad (1.1)$$

The visibility of sets  $K$  in Case (3) depends on their rectifiability. It is easy to show that 1-rectifiable sets, which are not  $\mathcal{H}^1$  almost surely covered by a single line, are visible from all points in  $\mathbb{R}^2$ , with possibly one exception, see [11]. On the other hand, if  $K \subset \mathbb{R}^2$  is purely 1-unrectifiable, then the sharp bound

$$\dim_{\mathbb{H}}[\mathbb{R}^2 \setminus \text{Inv}(K)] = \dim_{\mathbb{H}}\{p \in \mathbb{R}^2 : K \text{ is visible from } p\} \leq 1.$$

was obtained by Marstrand, building on Besicovitch's projection theorem. For generalisations, improvements and constructions related to the bound above, see [7, Theorem 5.1], and [3, 4]. Marstrand raised the question – which remains open to the best of my knowledge – whether it is possible that  $\mathcal{H}^1(\mathbb{R}^2 \setminus \text{Inv}(K)) > 0$ : in particular, can a purely 1-unrectifiable set be visible from a positive fraction of its own points? For purely 1-unrectifiable self-similar sets  $K \subset \mathbb{R}^2$  one has  $\text{Inv}(K) = \mathbb{R}^2$ , as shown by Simon and Solomyak [13].

Case (3) has received less attention. To simplify the discussion, assume that  $\dim_{\mathbb{H}} K = 1$  and  $\mathcal{H}^1(K) = 0$ , so that the considerations of Case (3) no longer apply, and  $\text{Inv}(K) = \mathbb{R}^2$ . Then, the relevant question becomes the size of  $\text{Inv}_T(K)$ . The radial projections  $\pi_p$  fit the influential *generalised projections* framework of Peres and Schlag [12], so one should start by checking, what bounds follow from [12, Theorem 7.3]. If  $K \subset \mathbb{R}^2$  is a Borel set with arbitrary dimension  $s \in [0, 2]$ , then it follows from [12, Theorem 7.3] that

$$\dim_{\mathbb{H}} \text{Inv}_T(K) = \dim_{\mathbb{H}}\{p \in \mathbb{R}^2 : \dim_{\mathbb{H}} \pi_p(K) = 0\} \leq 2 - s. \quad (1.2)$$

When  $s > 1$ , the bound (1.2) is a weaker version of (1.1), but the benefit of (1.2) is that it holds without any restrictions on  $s$ . In particular, if  $s = 1$ , one obtains

$$\dim_{\mathbb{H}} \text{Inv}_T(K) \leq 1. \quad (1.3)$$

This bound is sharp, and quite trivially so: consider the case, where  $K$  lies on a single line  $\ell \subset \mathbb{R}^2$ . Then,  $\text{Inv}_T(K) = \ell$ . The starting point for this paper was the question: are there essentially different examples manifesting the sharpness of (1.3)? The answer turns out to be negative in a very strong sense. Here are the main results of the paper:

**Main Theorem 1.4 (Weak version).** *Assume that  $K \subset \mathbb{R}^2$  is a Borel set with  $\dim_{\mathbb{H}} K > 0$ . Then, at least one of the following holds:*

- $\dim_{\mathbb{H}} \text{Inv}_T(K) = 0$ .
- $\text{Inv}_T(K)$  is contained on a line.

In fact, more is true. For  $K \subset \mathbb{R}^2$ , define

$$\text{Inv}_{1/2}(K) := \left\{ p \in \mathbb{R}^2 : \dim_{\mathbb{H}} \pi_p(K \setminus \{p\}) < \frac{\dim_{\mathbb{H}} K}{2} \right\}.$$

Then, if  $\dim_{\mathbb{H}} K > 0$ , one evidently has  $\text{Inv}_T(K) \subset \text{Inv}_{1/2}(K) \subset \text{Inv}(K)$ .

**Main Theorem 1.5** (Strong version). *Theorem 1.4 holds with  $\text{Inv}_T(K)$  replaced by  $\text{Inv}_{1/2}(K)$ . That is, if  $E \subset \mathbb{R}^2$  is a Borel set with  $\dim_{\text{H}} E > 0$ , not contained on a line, then there exists  $p \in E$  such that  $\dim_{\text{H}} \pi_p(K \setminus \{p\}) \geq (\dim_{\text{H}} K)/2$ .*

*Remark 1.6.* A closely related result is Theorem 1.6 in the paper [1] of Bond, Łaba and Zahl; with some imagination, Theorem 1.6(a) in [1] can be viewed as a "single scale" variant of Theorem 1.5. As far as I can tell, proving the Hausdorff dimension statement in this context presents a substantial extra challenge, so Theorem 1.5 is not easily implied by the results in [1].

**Example 1.7.** *Figure 1 depicts the main challenge in the proofs of Theorems 1.4 and 1.5. The*

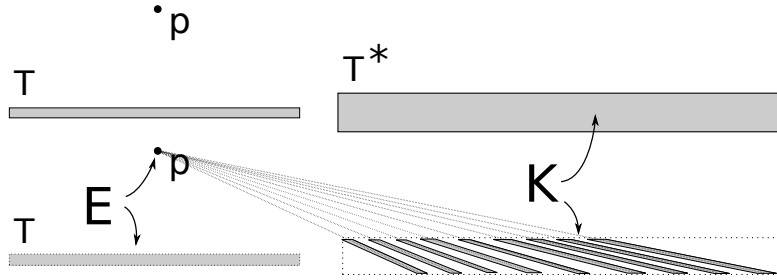


FIGURE 1. What is the next step in the construction of  $E$ ?

set  $E$  has  $\dim_{\text{H}} E > 0$ , and consists of something inside a narrow tube  $T$ , plus a point  $p \notin T$ . Then, Theorem 1.4 states that  $E \not\subset \text{Inv}_T(K)$  for any compact set  $K \subset \mathbb{R}^2$  with  $\dim_{\text{H}} K > 0$ . So, in order to find a counterexample to Theorem 1.5, all one needs to do is find  $K$  by a standard "Venetian blind" construction, in such a way that  $\dim_{\text{H}} K > 0$  and  $\dim_{\text{H}} \pi_q(K) = 0$  for all  $q \in E$ . The first steps are obvious: to begin with, require that  $K \subset T^*$  for another narrow tube parallel to  $T$ , see Figure 1. Then  $\pi_q(K)$  is small for all  $q \in T$ . To handle the remaining point  $p \in E$ , split the contents of  $T^*$  into a finite collection of new narrow tubes in such a way that  $\pi_p(K)$  is small. In this manner,  $\pi_q(K)$  can be made arbitrarily small for all  $q \in E$  (in the sense of  $\epsilon$ -dimensional Hausdorff content, for instance, for any prescribed  $\epsilon > 0$ ). It is quite instructive to think, why the construction cannot be completed: why cannot the "Venetian blinds" be iterated further (for both  $E$  and  $K$ ) so that, at the limit,  $\dim_{\text{H}} \pi_q(K) = 0$  for all  $q \in E$ ?

Theorem 1.5 has the following immediate consequence:

**Corollary 1.8** (Corollary to Theorem 1.5). *Assume that  $K \subset \mathbb{R}^2$  is a Borel set, not contained on a line. Then the set of unit vectors spanned by  $K$ , namely*

$$S(K) := \left\{ \frac{p-q}{|p-q|} \in S^1 : p, q \in K \text{ and } p \neq q \right\},$$

satisfies  $\dim_{\text{H}} S(K) \geq \frac{\dim_{\text{H}} K}{2}$ .

*Proof.* If  $\dim_{\text{H}} K = 0$ , there is nothing to prove. Otherwise, Theorem 1.5 implies that  $K \not\subset \text{Inv}_{1/2}(K)$ , whence  $\dim_{\text{H}} S(K) \geq \dim_{\text{H}} \pi_p(K \setminus \{p\}) \geq (\dim_{\text{H}} K)/2$  for some  $p \in K$ .  $\square$

Corollary 1.8 is probably not sharp, and the following conjecture seems plausible:

**Conjecture 1.9.** *Assume that  $K \subset \mathbb{R}^2$  is a Borel set, not contained on a line. Then  $\dim_{\text{H}} S(K) = \min\{\dim_{\text{H}} K, 1\}$ .*

This follows from Marstrand's result, discussed in Case (1) above, when  $\dim_{\mathbb{H}} K > 1$ . For  $\dim_{\mathbb{H}} K \leq 1$ , Conjecture 1.9 is closely connected with continuous sum-product problems, which means that significant improvements over Corollary 1.8 will, most likely, require new technology. An  $\epsilon$ -improvement may be possible, combining the proof below with ideas from the paper [5] of Katz and Tao, and using the discretised sum-product theorem of Bourgain [2].

**1.1. Acknowledgements.** I started working on the question while taking part in the research programme *Fractal Geometry and Dynamics* at Institut Mittag-Leffler. I am grateful to the organisers for letting me participate, and to the staff of the institute for making my stay very pleasant. I would also like to thank Tamás Keleti and Pablo Shmerkin for stimulating conversations, both on this project, and several related topics.

## 2. PROOFS

If  $\ell \subset \mathbb{R}^2$  is a line, I denote by  $T(\ell, \delta)$  the open (infinite) tube of width  $2\delta$ , with  $\ell$  "running through the middle", that is,  $\text{dist}(\ell, \mathbb{R}^2 \setminus T(\ell, \delta)) = \delta$ . The notation  $B(x, r)$  stands for a closed ball with centre  $x \in \mathbb{R}^2$  and radius  $r > 0$ . The notation  $A \lesssim B$  means that there is an absolute constant  $C \geq 1$  such that  $A \leq CB$ .

**Lemma 2.1.** *Assume that  $\mu$  is a Borel probability measure on  $B(0, 1) \subset \mathbb{R}^2$ , and  $\mu(\ell) = 0$  for all lines  $\ell \subset \mathbb{R}^2$ . Then, for any  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $\mu(T(\ell, \delta)) \leq \epsilon$  for all lines  $\ell \subset \mathbb{R}^2$ .*

*Proof.* Assume not, so there exists  $\epsilon > 0$ , a sequence of positive numbers  $\delta_1 > \delta_2 > \dots > 0$ , and a sequence of lines  $\{\ell_i\}_{i \in \mathbb{N}} \subset \mathbb{R}^2$  with  $\mu(T(\ell_i, \delta_i)) \geq \epsilon$ . Since  $\text{spt } \mu \subset B(0, 1)$ , one has  $\ell_i \cap B(0, 1) \neq \emptyset$  for all  $i \in \mathbb{N}$ . Consequently, there exists a subsequence  $(i_j)_{j \in \mathbb{N}}$ , and a line  $\ell \subset \mathbb{R}^2$  such that  $\ell_j \rightarrow \ell$  in the Hausdorff metric. Then, for any given  $\delta > 0$ , there exists  $j \in \mathbb{N}$  such that

$$B(0, 1) \cap T(\ell_{i_j}, \delta_{i_j}) \subset T(\ell, \delta),$$

so that  $\mu(T(\ell, \delta)) \geq \epsilon$ . It follows that  $\mu(\ell) \geq \epsilon$ , a contradiction.  $\square$

The following lemma contains most of the proof of Theorem 1.5:

**Lemma 2.2.** *Assume that  $\mu, \nu$  are Borel probability measures with compact supports  $K, E \subset B(0, 1)$ , respectively. Assume that both measures  $\mu$  and  $\nu$  satisfy a Frostman condition with exponents  $\kappa_\mu, \kappa_\nu \in (0, 2]$ , respectively:*

$$\mu(B(x, r)) \leq C_\mu r^{\kappa_\mu} \quad \text{and} \quad \nu(B(x, r)) \leq C_\nu r^{\kappa_\nu} \quad (2.3)$$

for all balls  $B(x, r) \subset \mathbb{R}^2$ , and for some constants  $C_\mu, C_\nu \geq 1$ . Assume further that  $\mu(\ell) = 0$  for all lines  $\ell \subset \mathbb{R}^2$ . Fix also

$$0 < \tau < \frac{\kappa_\mu}{2} \quad \text{and} \quad \epsilon > 0,$$

and write  $\delta_k := 2^{-(1+\epsilon)k}$ .

Then, there exist numbers  $\beta = \beta(\kappa_\mu, \kappa_\nu, \tau) > 0$ ,  $\eta = \eta(\epsilon, \kappa_\mu, \kappa_\nu, \tau) > 0$ , and an index  $k_0 = k_0(\epsilon, \mu, \kappa_\nu, \tau) \in \mathbb{N}$  with the following properties. For all  $k \geq k_0$ , there exist

(a) compact sets  $K \supset K_{k_0} \supset K_{k_0+1} \dots$  with

$$\mu(K_k) \geq 1 - \sum_{k_0 \leq j < k} \left(\frac{1}{4}\right)^{j-k_0+1} \geq \frac{1}{2}, \quad (2.4)$$

(b) compact sets  $E \supset E_{k_0} \supset E_{k_0+1} \dots$  with  $\nu(E_k) \geq \delta_k^\beta$  with the following property: if  $k > k_0$ ,  $p \in E_k$ , and  $T(\ell_1, \delta_k), \dots, T(\ell_N, \delta_k)$  is a family of tubes of cardinality  $N \leq \delta_k^{-\tau}$ , each containing  $p$ , then

$$\mu \left( K_k \cap \bigcup_{j=1}^N T(\ell_j, \delta_k) \right) \leq \delta_k^\eta. \quad (2.5)$$

*Remark 2.6.* The index  $k_0$  can be chosen as large as desired; this will be clear from the proof below. It will also be used on many occasions, without separate remark, that  $\delta_k$  can be assumed very small for all  $k \geq k_0$ .

*Proof.* The proof is by induction, starting at the largest scale  $k_0$ , which will be presently defined. Fix  $\eta = \eta(\epsilon, \kappa_\mu, \kappa_\nu, \tau) > 0$  and

$$\Gamma = \Gamma(\epsilon, \kappa_\mu, \kappa_\nu, \tau) \in \mathbb{N} \quad (2.7)$$

The number  $\Gamma$  will be specified at the very end of the proof, right before (2.32), and there will be several requirements for the number  $\eta$ , see (2.22), (2.28), and (2.31). Applying Lemma 2.1, first pick an index  $k_1 = k_1(\epsilon, \mu, \kappa_\nu, \tau) \in \mathbb{N}$  such that  $\mu(T(\ell, \delta_{k_1})) \leq (\frac{1}{4})^{\Gamma+1}$  for all tubes  $T(\ell, \delta_{k_1}) \subset \mathbb{R}^2$ , and

$$\delta_{k-\Gamma}^\eta \leq (\frac{1}{4})^{k-\Gamma+1}, \quad k \geq k_1. \quad (2.8)$$

Set  $k_0 := k_1 + \Gamma$ . Then, the following holds for all  $k \in \{k_0, \dots, k_0 + \Gamma\}$ . For any subset  $K' \subset K$ , and any tube  $T(\ell, \delta_{k-\Gamma}) \subset \mathbb{R}^2$ , one has

$$\mu(K' \cap T(\ell, \delta_{k-\Gamma})) \leq \mu(T(\ell, \delta_{k_1})) \leq (\frac{1}{4})^{\Gamma+1} \leq (\frac{1}{4})^{k-k_0+1}. \quad (2.9)$$

Define

$$K_k := K \quad \text{and} \quad E_k := E, \quad k_1 \leq k \leq k_0.$$

(The definitions of  $E_k, K_k$  for  $k_1 \leq k < k_0$  are only given for notational convenience.)

I start by giving an outline of how the induction will proceed. Assume that, for a certain  $k \geq k_0$ , the sets  $K_k$  and  $E_k$  have been constructed such that

- (i) the condition (2.9) is satisfied with  $K' = K_k$ , and for all tubes  $T(\ell, \delta_{k-\Gamma})$  with  $T(\ell, \delta_{k-\Gamma}) \cap E_{k-\Gamma} \neq \emptyset$ .
- (ii)  $K_k$  and  $E_k$  satisfy the measure lower bounds (a) and (b) from the statement of the lemma.

Under the conditions (i)-(ii), I claim that it is possible to find subsets  $K_{k+1} \subset K_k$  and  $E_{k+1} \subset E_k$ , satisfying (ii) at level  $k+1$ , and also the non-concentration condition (2.5) at level  $k+1$ . This is why (2.5) is only claimed to hold for  $k > k_0$ , and no one is indeed claiming that it holds for the sets  $K_{k_0}$  and  $E_{k_0}$ . These sets satisfy (i), however, which should be viewed as a weaker substitute for (2.5) at level  $k$ , which is just strong enough to guarantee (2.5) at level  $k+1$ . There is one obvious question at this point: if (i) at level  $k$  gives (2.5) at level  $k+1$ , then where does one get (i) back at level  $k+1$ ?

If  $k+1 \in \{k_0, \dots, k_0 + \Gamma\}$ , the condition (i) is simply guaranteed by the choice of  $k_0$  (one does not even need to assume that  $T(\ell, \delta_{k-\Gamma}) \cap E_{k-\Gamma} \neq \emptyset$ ). For  $k+1 > k_0 + \Gamma$ , this is no longer true. However, for  $k+1 > \Gamma + k_0$ , one has  $k+1 - \Gamma > k_0$ , and thus  $K_{k+1-\Gamma}$  and  $E_{k+1-\Gamma}$  have already been constructed to satisfy (2.5). In particular, if  $E_{k+1-\Gamma} \cap T(\ell, \delta_{k+1-\Gamma}) \neq \emptyset$ , then

$$\mu(K_{k+1} \cap T(\ell, \delta_{k+1-\Gamma})) \leq \mu(K_{k+1-\Gamma} \cap T(\ell, \delta_{k+1-\Gamma})) \leq \delta_{k+1-\Gamma}^\eta \leq (\frac{1}{4})^{(k+1)-k_0+1} \quad (2.10)$$

by (2.5) and (2.8). This means that (i) is satisfied at level  $k + 1$ , and the induction may proceed.

So, it remains to prove that (i)–(ii) at level  $k$  imply (ii) and (2.5) at level  $k + 1$ . To avoid clutter, I write

$$\delta := \delta_{k+1}.$$

Assume that the sets  $K_k, E_k$  have been constructed for some  $k \geq k_0$ , satisfying (i)–(ii). The main task is to understand the structure of the set of points  $p \in E_k$  for which (2.5) fails, and these points are denoted by  $\mathbf{Bad}_k$ . More precisely,  $p \in \mathbf{Bad}_k$ , if and only if  $p \in E_k$ , and there exist  $N \leq \delta^{-\tau}$  tubes  $T(\ell_1, \delta), \dots, T(\ell_N, \delta)$ , each containing  $p$ , such that

$$\mu \left( K_k \cap \bigcup_{j=1}^N T(\ell_j, \delta) \right) > \delta^\eta. \quad (2.11)$$

Note that if  $\mathbf{Bad}_k = \emptyset$ , then one can simply define  $E_{k+1} := E_k$  and  $K_{k+1} := K_k$ , and (ii) and (2.5) (at level  $k + 1$ ) are clearly satisfied.

Instead of analysing  $\mathbf{Bad}_k$  directly, it is useful to split it up into "directed" pieces, and digest the pieces individually. To make this precise, let  $S$  be the "space of directions"; for concreteness, I identify  $S$  with the upper half of the unit circle. Then, if  $T = T(\ell, \delta) \subset \mathbb{R}^2$  is a tube, I denote by  $\text{dir}(T)$  the unique vector  $e \in S$  such that  $\ell \parallel e$ .

Recall the small parameter  $\eta > 0$ , and partition  $S$  into  $D = \delta^{-\eta}$  arcs  $J_1, \dots, J_D$  of length  $\sim \delta^\eta$ .<sup>1</sup> For  $d \in \{1, \dots, D\}$  fixed ("d" for "direction"), consider the set  $\mathbf{Bad}_k^d$ : it consists of those points  $p \in E_k$  such that there exist  $N \leq \delta^{-\tau}$  tubes  $T(\ell_1, \delta), \dots, T(\ell_N, \delta)$ , each containing  $p$ , with  $\text{dir}(T(\ell_i, \delta)) \in J_d$ , and satisfying

$$\mu \left( K_k \cap \bigcup_{j=1}^N T(\ell_j, \delta) \right) > \delta^{2\eta}.$$

Since the direction of every possible tube in  $\mathbb{R}^2$  belongs to one of the arcs  $J_i$ , and there are only  $D = \delta^{-\eta}$  arcs in total, one has

$$\mathbf{Bad}_k \subset \bigcup_{d=1}^D \mathbf{Bad}_k^d. \quad (2.12)$$

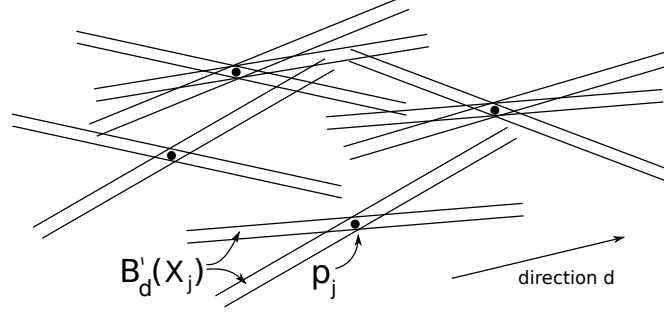
The next task is to understand the structure of  $\mathbf{Bad}_k^d$  for a fixed direction  $d \in \{1, \dots, D\}$ . I claim that  $\mathbf{Bad}_k^d$  looks like a garden of flowers, with all the petals pointing in direction  $J_d$ , see Figure 2 for a rough idea. To make the statement more precise, I introduce an additional piece of notation. For  $X \subset K_k$ , let  $B_d(X)$  consist of those points  $p \in E_k$  such that  $X$  can be covered by  $N \leq \delta^{-\tau}$  tubes  $T(\ell_1, \delta), \dots, T(\ell_N, \delta)$ , with directions  $\text{dir}(T(\ell_i, \delta)) \in J_d$ , and each containing  $p$ . Then, note that

$$\mathbf{Bad}_k^d = \{p \in E_k : \exists X \subset K_k \text{ with } \mu(X) > \delta^{2\eta} \text{ and } p \in B_d(X)\}. \quad (2.13)$$

The sets  $B_d(X)$  also has the trivial but useful property that

$$X \subset X' \subset K_k \implies B_d(X') \subset B_d(X).$$

<sup>1</sup>Here, it might be better style to pick another letter, say  $\alpha > 0$ , in place of  $\eta$ , since the two parameters play slightly different roles in the proof. Eventually, however, one would end up considering  $\min\{\eta, \alpha\}$ , and it seems a bit cleaner to let  $\eta > 0$  be a "jack of all trades" from the start.

FIGURE 2. The set  $\mathbf{Bad}_k^d$ .

There are two steps in establishing the "garden" structure of  $\mathbf{Bad}_k^d$ : first, one needs to find the "flowers", and second, one needs to check that the sets obtained actually look like flowers in a non-trivial sense. I start with the former task. Assuming that  $\mathbf{Bad}_k^d \neq \emptyset$ , pick any point  $p_1 \in \mathbf{Bad}_k^d$ , and an associated subset  $X_1 \subset K_k$  with

$$\mu(X_1) > \delta^{2\eta} \quad \text{and} \quad p_1 \in B_d(X_1).$$

Then, assume that  $p_1, \dots, p_m \in \mathbf{Bad}_k^d$  and  $X_1, \dots, X_m$  have already been chosen with the properties above, and further satisfying

$$\mu(X_i \cap X_j) \leq \delta^{4\eta}/2, \quad 1 \leq i < j \leq m. \quad (2.14)$$

Then, see if there still exists a subset  $X_{m+1} \subset K_k$  with the following three properties:  $\mu(X_{m+1}) > \delta^{2\eta}$ ,  $B_d(X_{m+1}) \neq \emptyset$ , and  $\mu(X_{m+1} \cap X_i) \leq \delta^{4\eta}/2$  for all  $1 \leq i \leq m$ . If such a set no longer exists, stop; if it does, pick  $p_{m+1} \in B_d(X_{m+1})$ , and add  $X_{m+1}$  to the list.

It follows from the "competing" conditions  $\mu(X_i) > \delta^{2\eta}$ , and (2.14), that the algorithm needs to terminate in at most

$$M \leq 2\delta^{-4\eta} \quad (2.15)$$

Indeed, assume that the sets  $X_1, \dots, X_M$  have already been constructed, and consider the following chain of inequalities:

$$\begin{aligned} \frac{1}{M} + \frac{1}{M(M-1)} \sum_{i_1 \neq i_2} \mu(X_{i_1} \cap X_{i_2}) &\geq \frac{1}{M^2} \sum_{i_1, i_2=1}^M \mu(X_{i_1} \cap X_{i_2}) \\ &= \frac{1}{M^2} \int \sum_{i_1, i_2=1}^M \mathbf{1}_{X_{i_1} \cap X_{i_2}}(x) d\mu(x) \\ &= \frac{1}{M^2} \int [\text{card}\{1 \leq i \leq M : x \in X_i\}]^2 d\mu(x) \\ &\geq \frac{1}{M^2} \left( \int \text{card}\{1 \leq i \leq M : x \in X_i\} d\mu(x) \right)^2 \\ &= \frac{1}{M^2} \left( \sum_{i=1}^M \mu(X_i) \right)^2 > \delta^{4\eta}. \end{aligned}$$



Thus, if  $M > 2\delta^{-4\eta}$ , there exists a pair  $X_{i_1}, X_{i_2}$  with  $i_1 \neq i_2$  such that  $\mu(X_{i_1} \cap X_{i_2}) > \delta^{4\eta}/2$ , and the algorithm has already terminated earlier. This proves (2.15).

With the sets  $X_1, \dots, X_M$  now defined, write

$$B'_d(X_j) := \{p \in E_k : \exists X' \subset X_j \text{ with } \mu(X') > \delta^{4\eta}/2 \text{ and } p \in B_d(X')\}.$$

I claim that

$$\mathbf{Bad}_k^d \subset \bigcup_{j=1}^M B'_d(X_j). \quad (2.16)$$

Indeed, if  $p \in \mathbf{Bad}_k^d$ , then  $p \in B_d(X)$  for some  $X \subset K_k$  with  $\mu(X) > \delta^{2\eta}$  by (2.13). It follows that

$$\mu(X \cap X_j) > \delta^{4\eta}/2 \quad (2.17)$$

for one of the sets  $X_j$ ,  $1 \leq j \leq M$ , because either  $X \in \{X_1, \dots, X_M\}$ , and (2.17) is clear (all the sets  $X_j$  even satisfy  $\mu(X_j) > \delta^{2\eta}$ ), or else (2.17) must hold by virtue of  $X$  **not** having been added to the list  $X_1, \dots, X_M$  in the algorithm. But (2.17) implies that  $p \in B'_d(X_j)$ , since  $X' = X \cap X_j \subset X_j$  satisfies  $\mu(X') > \delta^{4\eta}/2$  and  $p \in B_d(X) \subset B_d(X')$ .

According to (2.15) and (2.16) the set  $\mathbf{Bad}_k^d$  can be covered by  $M \leq 2\delta^{-4\eta}$  sets of the form  $B'_d(X_j)$ , see Figure 2. These sets are the "flowers", and their structure is explored in the next lemma:

**Lemma 2.18.** *The following holds, if  $\delta = \delta_{k+1}$  is small enough. For  $1 \leq d \leq D$  and  $1 \leq j \leq M$  fixed, the set  $B'_d(X_j)$  can be covered by  $\leq 4\delta^{-8\eta}$  tubes of the form  $T = T(\ell, \delta^\rho)$ , where  $\text{dir}(T) \in J_d$ , and  $\rho = \rho(\kappa_\mu, \tau) > 0$ . The tubes can be chosen to contain the point  $p_j \in B_d(X_j)$ .*

*Proof.* Fix  $1 \leq j \leq M$  and  $p \in B'_d(X_j)$ . Recall the point  $p_j \in B_d(X_j)$  from the definition of  $X_j$ . By definition of  $p \in B'_d(X_j)$ , there exists a set  $X' \subset X_j$  with  $\mu(X') > \delta^{4\eta}/2$  and  $p \in B_d(X')$ . Unwrapping the definitions further, there exist  $N \leq \delta^{-\tau}$  tubes  $T(\ell_1, \delta), \dots, T(\ell_N, \delta)$ , the union of which covers  $X'$ , and each satisfies  $\text{dir}(T(\ell_i, \delta)) \in J_d$  and  $p \in T(\ell_i, \delta)$ . In particular, one of these tubes, say  $T_p = T(\ell_i, \delta)$ , has

$$\mu(X_j \cap T_p) \geq \mu(X' \cap T_p) \geq \mu(X') \cdot \delta^\tau \geq \delta^{4\eta+\tau}/2 \geq \delta^{8\eta+\tau}/4. \quad (2.19)$$

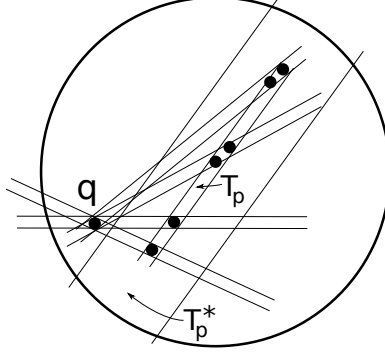
(The final inequality is for just a triviality at this point, but is useful for later technical purposes later.) Here comes perhaps the most basic geometric observation in the proof: if the measure lower bound (2.19) holds for some  $\delta$ -tube  $T$  – this time  $T_p$  – and a sufficiently small  $\eta > 0$  (crucially so small that  $8\eta + \tau < \kappa/2$ ), then the whole set  $B_d(X_j)$  is actually contained in a neighbourhood of  $T$ , called  $T^*$ , because  $X_j \cap T$  is so difficult to cover by  $\delta$ -tubes centred at points outside  $T^*$ , see Figure 3. In particular, in the present case,

$$p_j \in B_d(X_j) \subset T(\ell_i, \delta^{4\rho}) =: T_p^* \quad (2.20)$$

for a suitable constant  $\rho = \rho(\kappa_\mu, \tau) > 0$ , specified in (2.22). To see this formally, pick  $q \in B(0, 1) \setminus T_p^*$ , and argue as follows to show that  $q \notin B_d(X_j)$ . First, any  $\delta$ -tube  $T$  containing  $q$ , and intersecting  $T_p \cap B(0, 1)$ , makes an angle of at least  $\gtrsim \delta^{4\rho}$  with  $T_p$ . It follows that

$$\text{diam}(T \cap T_p \cap B(0, 1)) \lesssim \delta^{1-4\rho},$$



FIGURE 3. Covering  $X_j \cap T_p$  by tubes centred at points outside  $T_p^*$ .

and consequently  $\mu(T \cap T_p \cap B(0, 1)) \lesssim C_\mu \delta^{\kappa_\mu(1-4\rho)}$ . So, in order to cover  $X_j \cap T_p$  (let alone the whole set  $X_j$ ) it takes by (2.19) at least

$$\gtrsim \frac{\mu(X_j \cap T_p)}{C_\mu \delta^{\kappa_\mu(1-4\rho)}} \geq \frac{\delta^{8\eta+\tau-\kappa_\mu(1-4\rho)}}{C_\mu} \geq \frac{\delta^{8\eta-\kappa_\mu/2+8\rho}}{C_\mu} \quad (2.21)$$

tubes  $T$  containing  $q$ . But if

$$0 < 8\eta < \frac{\frac{\kappa_\mu}{2} - \tau}{2} \quad \text{and} \quad 8\rho = \frac{\frac{\kappa_\mu}{2} - \tau}{2}, \quad (2.22)$$

then the number on the right hand side of (2.21) is far larger than  $\delta^{-\tau}$ , which means that  $q \notin B_d(X_j)$ , and proves (2.20).

Recall the statement of the Lemma 2.18, and compare it with the previous accomplishment: (2.20) states that whenever  $p \in B'_d(X_j)$ , then  $p$  lies in a certain tube of width  $\delta^{4\rho}$  (namely  $T_p$ ), which has direction in  $J_d$ , and also contains  $p_j$ . This sounds a bit like the statement of the lemma, but there is a problem: in principle, every point  $p \in B'(X_j)$  could give rise to a different tube  $T_p$ . So, it essentially remains to show that all these  $\delta^{4\rho}$ -tubes  $T_p$  can be covered by a small number of tubes of width  $\delta^\rho$ . To begin with, note that the ball  $B_j := B(p_j, \delta^{2\rho})$  can be covered by a single tube of width  $\delta^\rho$ , in any direction desired. So, to prove the lemma, it remains to cover  $B'_d(X_j) \setminus B_j$ .

Note that if  $p, q$  satisfy  $|p - q| \geq \delta^{2\rho}$ , then the direction of any  $\delta^{4\rho}$ -tube containing both  $p, q$  lies in a fixed arc  $J(p, q) \subset S$  of length  $|J(p, q)| \lesssim \delta^{4\rho}/\delta^{2\rho} = \delta^{2\rho}$ . As a corollary, the union of all  $\delta^{4\rho}$ -tubes containing  $p, q$ , intersected with  $B(0, 1)$ , is contained in a single tube of width  $\sim \delta^{2\rho}$ . In particular, this union (still intersected with  $B(0, 1)$ ) is contained in a single  $\delta^\rho$ -tube, assuming that  $\delta > 0$  is small; this tube can be chosen to be a  $\delta^\rho$ -tube around an arbitrary  $\delta^{4\rho}$ -tube containing both  $p$  and  $q$ .

The tube-cover of  $B'_d(X_j) \setminus B_j$  can now be constructed by adding one tube at a time. First, assume that there is a point  $q_1 \in B'_d(X_j) \setminus B_j$ , and find a tube  $T(\ell_1, \delta^{4\rho})$  containing both  $q$  and  $p_j$ , with direction in  $J_d$ ; existence follows from (2.20). Add the tube  $T(\ell_1, \delta^\rho)$  to the the tube-cover of  $B'_d(X_j) \setminus B_j$ , and recall from the previous paragraph that  $T(\ell_1, \delta^\rho)$  now contains  $T \cap B(0, 1)$  for **any**  $\delta^{4\rho}$ -tube  $T \supset \{q_1, p_j\}$  (of which  $T = T(\ell_1, \delta^{4\rho})$  is just one example). Finally, by definition of  $q_1 \in B'_d(X_j)$ , associate to  $q_1$  a subset  $X'_1 \subset X_j$  with

$$\mu(X'_1) > \delta^{4\eta}/2 \quad \text{and} \quad q_1 \in B_d(X'_1). \quad (2.23)$$

Assume that the points  $q_1, \dots, q_H \in B'_d(X_j) \setminus B_j$ , along with the associated tubes  $\{q_i, p_j\} \subset T(\ell_i, \delta^{4\rho}) \subset T(\ell_i, \delta^\rho)$ , and subsets  $X'_i \subset X_j$ , as in (2.23), have already been constructed. Assume inductively that

$$\mu(X'_{i_1} \cap X'_{i_2}) \leq \delta^{8\eta}/4, \quad 1 \leq i_1 < i_2 \leq H. \quad (2.24)$$

To proceed, pick any point  $q_{H+1} \in B'_d(X_j) \setminus B_j$ , and associate to  $q_{H+1}$  a subset  $X'_{H+1} \subset X_j$  with  $\mu(X'_{H+1}) > \delta^{4\rho}/2$  and  $q_{H+1} \in B_d(X'_{H+1})$ . Then, test whether (2.24) still holds, that is, whether  $\mu(X'_{H+1} \cap X'_i) \leq \delta^{8\eta}/4$  for all  $1 \leq i \leq H$ . If such a point  $q_{H+1}$  can be chosen, run the argument from the previous paragraph, first locating a tube  $T(\ell_{H+1}, \delta^{4\rho})$  containing both  $q_{H+1}$  and  $p_j$ , with direction in  $J_d$ , and finally adding  $T(\ell_{H+1}, \delta^\rho)$  to the tube-cover under construction.

The "competing" conditions  $\mu(X'_i) > \delta^{4\eta}/2$ , and (2.24), guarantee that the algorithm terminates in

$$H \leq 4\delta^{-8\eta}$$

steps. The argument is precisely the same as used to prove (2.15), so I omit it. Once the algorithm has terminated, I claim that all points of  $B'_d(X_j) \setminus B_j$  are covered by the tubes  $T(\ell_i, \delta^\rho)$ , with  $1 \leq i \leq H$ . To see this, pick  $q \in B'_d(X_j) \setminus B_j$ , and a subset  $X' \subset X_j$  with  $\mu(X') > \delta^{4\eta}/2$ , and  $q \in B_d(X')$ . Since the algorithm had already terminated, it must be the case that

$$\mu(X' \cap X'_i) > \delta^{8\eta}/4$$

for some index  $1 \leq i \leq H$ . Since  $X'' := X' \cap X'_i \subset X'$  and consequently  $q \in B_d(X'')$ , one can find a tube  $T_q = T(\ell_q, \delta) \ni q$  with  $\text{dir}(T_q) \in J_d$ , and satisfying

$$\mu(X'_i \cap T_q) \geq \mu(X'' \cap T_q) \geq \mu(X'') \cdot \delta^\tau > \delta^{8\eta+\tau}/4.$$

This lower bound is precisely the same as in (2.19). Hence, it follows from the same argument, which gave (2.20), that

$$q_i \in B_d(X'_i) \subset T(\ell_q, \delta^{4\rho}).$$

Since  $X'_i \subset X_j$ , also  $p_j \in B_d(X_j) \subset B_d(X'_i) \subset T(\ell_q, \delta^{4\rho})$ . So,

$$\{q, q_i, p_j\} \subset B(0, 1) \cap T(\ell_q, \delta^{4\rho}). \quad (2.25)$$

In particular,  $T(\ell_q, \delta^{4\rho})$  is a  $\delta^{4\rho}$ -tube containing both  $q_i, p_j$ , and hence

$$B(0, 1) \cap T(\ell_q, \delta^{4\rho}) \subset T(\ell_i, \delta^\rho).$$

Combined with (2.25), this yields  $q \in T(\ell_i, \delta^\rho)$ , as claimed. This concludes the proof of Lemma 2.18.  $\square$

Combining (2.15)-(2.16) with Lemma 2.18, the structural description of  $\mathbf{Bad}_k^d$  is now complete:  $\mathbf{Bad}_d^k$  is covered by

$$\leq M \cdot 4\delta^{-8\eta} \leq 8\delta^{-12\eta} \quad (2.26)$$

tubes of width  $\delta^\rho$ , with directions in  $J_d$ . For non-adjacent  $d_1, d_2 \in \{1, \dots, D\}$  (the ordering of indices corresponds to the ordering of the arcs  $J_d \subset S$ ), the covering tubes are then fairly transversal. This can be used to infer that most points in  $E_k$  do not lie in many different sets  $\mathbf{Bad}_k^d$ . Indeed, consider the set  $\mathbf{BadBad}_k$  of those points in  $\mathbb{R}^2$ , which lie in (at least) two sets  $\mathbf{Bad}_k^{d_1}$  and  $\mathbf{Bad}_k^{d_2}$  with  $|d_2 - d_1| > 1$ . By Lemma 2.18, such points lie in

the intersection of some pair of tubes  $T_1 = T(\ell_1, \delta^\rho)$  and  $T_2 = T(\ell_2, \delta^\rho)$  with  $\text{dir}(T_i) \in J_{d_i}$ . The angle between these tubes is  $\gtrsim \delta^\eta$ , whence

$$\text{diam}(T_1 \cap T_2) \lesssim \delta^{\rho-\eta},$$

and consequently

$$\nu(T_1 \cap T_2) \lesssim C_\nu \delta^{\kappa_\nu(\rho-\eta)} \leq C_\nu \delta^{\kappa_\nu \rho - 2\eta}. \quad (2.27)$$

For  $d \in \{1, \dots, D\}$  fixed, there correspond  $\lesssim \delta^{-12\eta}$  tubes in total, as pointed out in (2.26). So, the number of pairs  $T_1, T_2$ , as above, is bounded by

$$\lesssim D^2 \cdot \delta^{-24\eta} \leq \delta^{-26\eta}.$$

Consequently, by (2.27),

$$\nu(\mathbf{BadBad}_k) \lesssim C_\nu \delta^{-28\eta + \kappa_\nu \rho}.$$

This upper bound is far smaller than  $\nu(E_k)/2 \geq \delta_k^\beta/2$ , assuming that

$$0 < \beta < \kappa_\nu \rho - 28\eta. \quad (2.28)$$

Given that  $28\eta < \kappa_\nu \rho/2$ , one is free to make such an assumption on  $\beta$  (it holds for  $k = k_0$ , since  $\nu(E_{k_0}) = 1$ ), but the smaller  $\beta$  is, the more difficult it becomes to ensure that  $\nu(E_{k+1}) \geq \delta_{k+1}^\beta$ . To see that this can be done, start by writing  $G_k := E_k \setminus \mathbf{BadBad}_k$ , so that

$$\nu(G_k) \geq \nu(E_k)/2 \geq \delta_k^\beta/2$$

by the choice of  $\beta$ . Now, either

$$\nu(G_k \cap \mathbf{Bad}_k) \geq \frac{\nu(G_k)}{2} \quad \text{or} \quad \nu(G_k \cap \mathbf{Bad}_k) < \frac{\nu(G_k)}{2}. \quad (2.29)$$

The latter case is quick and easy: set  $E_{k+1} := G_k \setminus \mathbf{Bad}_k$  and  $K_{k+1} := K_k$ . Then  $\nu(E_{k+1}) \geq \nu(E_k)/4 \geq \delta_{k+1}^\beta$  (assuming that  $k \geq k_0$  is large enough). Moreover, the set  $E_{k+1}$  no longer contains any points in  $\mathbf{Bad}_k$ , so (2.5) is satisfied at level  $k+1$ , by the very definition of  $\mathbf{Bad}_k$ , see (2.11).

So, it remains to treat the first case in (2.29). Start by recalling from (2.12) that  $\mathbf{Bad}_k$  is covered by the sets  $\mathbf{Bad}_k^d$ ,  $1 \leq d \leq D$ , so

$$\nu(G_k \cap \mathbf{Bad}_k^d) \geq \frac{\nu(G_k)}{2D} \geq \frac{\delta^\eta \delta_k^\beta}{4} = \frac{\delta^{\eta+\beta/(1+\epsilon)}}{4}$$

for some fixed  $d \in \{1, \dots, D\}$ . Then, recall from (2.26) that  $\mathbf{Bad}_k^d$  can be covered by  $\leq 8\delta^{-12\eta}$  tubes of the form  $T(\ell, \delta^\rho)$ , with directions in  $J_d$ . It follows that there exists a fixed tube  $T_0 = T(\ell_0, \delta^\rho)$  such that

$$\text{dir}(T_0) \in J_d \quad \text{and} \quad \nu(G_k \cap T_0 \cap \mathbf{Bad}_k^d) \geq \frac{\delta^{13\eta+\beta/(1+\epsilon)}}{32}. \quad (2.30)$$

So, to ensure  $\nu(G_k \cap T_0 \cap \mathbf{Bad}_k^d) \geq \delta^\beta$ , choose  $\eta > 0$  so small that

$$13\eta + \beta/(1+\epsilon) < \beta. \quad (2.31)$$

To convince the reader that there is no circular reasoning at play, I gather here all the requirements for  $\beta$  and  $\eta$  (harvested from (2.22), (2.28), and (2.31)):

$$0 < \beta < \frac{\kappa_\nu \rho}{2} \quad \text{and} \quad 0 < \eta < \min \left\{ \frac{\kappa_\mu/2 - \tau}{2}, \frac{\kappa_\nu \rho}{56}, \frac{\epsilon\beta}{1+\epsilon} \right\}$$

With such choices of  $\beta, \eta$ , recalling (2.30), and assuming that  $\delta$  is small enough, the set

$$E_{k+1} := G_k \cap T_0 \cap \mathbf{Bad}_k^d.$$

satisfies  $\nu(E_{k+1}) \geq \delta^\beta$ , which is statement (b) from the lemma. It remains to define  $K_{k+1}$ . To this end, recall that  $T_0$  is a tube around the line  $\ell_0 \subset \mathbb{R}^2$ . Define

$$K_{k+1} := K_k \setminus T(\ell_0, \delta^{\eta/2}).$$

Then, assuming that  $\eta/2$  has the form  $\eta/2 = (1+\epsilon)^{-\Gamma-1}$  for an integer  $\Gamma = \Gamma(\epsilon, \kappa_\mu, \kappa_\nu, \tau) \in \mathbb{N}$  (this is finally the integer from (2.7)), one has

$$\delta^{\eta/2} = \delta_{k-\Gamma}. \quad (2.32)$$

Since  $T(\ell_0, \delta_{k-\Gamma}) \cap E_{k-\Gamma} \neq \emptyset$ , it follows from the induction hypothesis (i) that

$$\mu(K_k \cap T(\ell, \delta_{k-\Gamma})) \leq \left(\frac{1}{4}\right)^{k-k_0+1}.$$

Consequently,

$$\mu(K_{k+1}) \geq \mu(K_k) - \left(\frac{1}{4}\right)^{k-k_0+1} \geq 1 - \sum_{k_0 \leq j < k+1} \left(\frac{1}{4}\right)^{j-k_0+1},$$

which is the desired lower bound from (a) of the statement of the lemma. So, it remains to verify the non-concentration condition (2.5) for  $E_{k+1}$  and  $K_{k+1}$ . To this end, pick  $p \in E_{k+1}$ . First, observe that every tube  $T = T(\ell, \delta)$ , which contains  $p$  and has non-empty intersection with  $K_{k+1} \subset B(0, 1) \setminus T(\ell, \delta^{\eta/2})$ , forms an angle  $\gtrsim \delta^{\eta/2}$  with  $T_0$ . In particular, this angle is far larger than  $\delta^\eta$ . Since  $\text{dir}(T_0) \in J_d$  by (2.30), this implies that  $\text{dir}(T) \in J_{d'}$  for some  $|d' - d| > 1$ .

Now, if the non-concentration condition (2.5) still failed for  $p \in E_{k+1}$ , there would exist  $N \leq \delta^{-\tau}$  tubes  $T(\ell_1, \delta), \dots, T(\ell_N, \delta)$ , each containing  $p$ , and with

$$\mu \left( K_{k+1} \cap \bigcup_{i=1}^N T(\ell_i, \delta) \right) > \delta^\eta.$$

By the pigeonhole principle, it follows that the tubes  $T(\ell_i, \delta)$  with  $\text{dir}(T_i) \in J_{d'}$ , for some fixed arc  $J_{d'}$ , cover a set  $X \subset K_{k+1} \subset K_k$  of measure  $\mu(X) > \delta^{2\eta}$ . This means precisely that  $p \in \mathbf{Bad}_k^{d'}$ , and by the observation in the previous paragraph,  $|d - d'| > 1$ . But  $p \in E_{k+1} \subset \mathbf{Bad}_k^d$  by definition, so this would imply that  $p \in \mathbf{BadBad}_k$ , contradicting the fact that  $p \in E_{k+1} \subset G_k$ . This completes the proof of (2.5), and the lemma.  $\square$

The proof of Theorem 1.5 is now quite standard:

*Proof of Theorem 1.5.* Write  $s := \dim_{\mathbb{H}} K$ , and assume that  $s > 0$  and  $\dim_{\mathbb{H}} E > 0$ . Make a counter assumption:  $E$  is not contained on a line, but  $\dim_{\mathbb{H}} \pi_p(K) < s/2$  for all  $p \in E$ . Then, find  $t < s/2$ , and a positive-dimensional subset  $\tilde{E} \subset E$ , not contained on any single line, with  $\dim_{\mathbb{H}} \pi_p(K) \leq t$  for all  $p \in \tilde{E}$  (if your first attempt at  $\tilde{E}$  lies on some line  $\ell$ , simply add a point  $p_0 \in E \setminus \ell$  to  $\tilde{E}$ , and replace  $t$  by  $\max\{t, \dim_{\mathbb{H}} \pi_{p_0}(K)\} < s/2$ ). So, now  $\tilde{E}$  satisfies the same hypotheses as  $E$ , but with " $< s/2$ " replaced by " $\leq t < s/2$ ". Thus, without loss of generality, one may assume that

$$\dim_{\mathbb{H}} \pi_p(K) \leq t < s/2, \quad p \in E. \quad (2.33)$$

Using Frostman's lemma, pick probability measures  $\mu, \nu$  with  $\text{spt } \mu \subset K$  and  $\text{spt } \nu \subset E$ , and satisfying the growth bounds (2.3) with exponents  $0 < \kappa_\mu < s$  and  $\kappa_\nu > 0$ . Pick, moreover,  $\kappa_\mu$  so close to  $s$  that

$$\kappa_\mu/2 > t. \quad (2.34)$$

Observe that  $\mu(\ell) = 0$  for all lines  $\ell \subset \mathbb{R}^2$ . Indeed, if  $\mu(\ell) > 0$  for some line  $\ell \subset \mathbb{R}^2$ , then there exists  $p \in E \setminus \ell$  by assumption, and

$$\dim_{\text{H}} \pi_p(K) \geq \dim_{\text{H}} \pi_p(\text{spt } \mu \cap \ell) \geq \kappa_\mu > t,$$

violating (2.33) at once. Finally, by restricting the measures  $\mu$  and  $\nu$  slightly, one may assume that they have disjoint supports.

In preparation for using Lemma 2.2, fix  $\epsilon > 0$ ,  $0 < \tau < \kappa_\mu/2$  in such a way that

$$\frac{\tau}{(1+\epsilon)^2} > t. \quad (2.35)$$

This is possible by (2.34). Then, apply Lemma 2.2 to find the parameters  $\beta, \eta > 0$ ,  $k_0 \in \mathbb{N}$ , and the sets  $\text{spt } \mu \supset K_{k_0} \supset K_{k_0+1} \supset \dots$  and  $\text{spt } \nu \supset E_{k_0} \supset E_{k_0+1} \supset \dots$ . Write

$$K' := \bigcap_{k \geq k_0} K_k \subset \text{spt } \mu \quad \text{and} \quad E' := \bigcap_{k \geq k_0} E_k \subset \text{spt } \nu.$$

Both sets are non-empty and compact (being intersections of nested sequences of non-empty compact sets),  $\mu(K') \geq \frac{1}{2}$ , and  $K' \cap E' = \emptyset$ . Pick  $p \in E'$ . I claim that

$$\dim_{\text{H}} \pi_p(K') \geq \frac{\tau}{(1+\epsilon)^2}, \quad (2.36)$$

which violates (2.33) by (2.35). If not, cover  $\pi_p(K)$  by efficiently by arcs  $J_1, J_2, \dots$  of lengths restricted to the values  $\delta_k = 2^{-(1+\epsilon)k}$ , with  $k \geq k_0$ . More precisely: assuming that (2.36) fails, start with an arbitrary efficient cover  $\tilde{J}_1, \tilde{J}_2, \dots$  by arcs of length  $|\tilde{J}_i| \leq \delta_{k_0}$ , satisfying

$$\sum_{j \geq 1} |\tilde{J}_j|^{\tau/(1+\epsilon)^2} \leq 1.$$

Then, replace each  $\tilde{J}_j$  by the shortest concentric arc  $J_j \supset \tilde{J}_j$ , whose length is of the form  $\delta_k$ . Note that  $\ell(J_j) \leq \ell(\tilde{J}_j)^{1/(1+\epsilon)}$ , so that

$$\sum_{j \geq 1} |J_j|^{\tau/(1+\epsilon)} \leq \sum_{j \geq 1} |\tilde{J}_j|^{\tau/(1+\epsilon)^2} \leq 1.$$

The arcs  $J_1, J_2, \dots$  now cover  $\pi_p(K')$ , and there are  $\leq \delta_k^{-\tau/(1+\epsilon)}$  arcs of any fixed length  $\delta_k$ . Since  $p \notin K'$ , for every  $k \geq k_0$  there exists a collection of tubes  $\mathcal{T}_k$  of the form  $T(\ell, \delta_k) \ni p$ , such that  $|\mathcal{T}_k| \lesssim \delta_k^{-\tau/(1+\epsilon)}$  (the implicit constant depends on  $\text{dist}(p, K')$ ), and

$$K' \subset \bigcup_{k \geq k_0} \bigcup_{T \in \mathcal{T}_k} T.$$

In particular  $|\mathcal{T}_k| \leq \delta_k^{-\tau}$ , assuming that  $\delta_k$  is small enough for all  $k \geq k_0$ . Recall that  $\mu(K') \geq \frac{1}{2}$ . Hence, by the pigeonhole principle, one can find  $k \in \mathbb{N}$  such that the following holds: there is a subset  $K'_k \subset K'$  with  $\mu(K'_k) \geq \frac{1}{100k^2}$  such that  $K'_k$  is covered by the tubes in  $\mathcal{T}_k$ . But  $1/(100k^2)$  is far larger than  $\delta_k^\eta$ , so this is explicitly ruled out by

non-concentration estimate in Lemma 2.2, namely (2.5). This contradiction completes the proof.  $\square$

## REFERENCES

- [1] M. BOND, I. ŁABA, AND J. ZAHL: *Quantitative visibility estimates for unrectifiable sets in the plane*, Trans. Amer. Math. Soc. **368**(8) (2016), 5475-5513
- [2] J. BOURGAIN: *On the Erdős-Volkmann and Katz-Tao ring conjectures*, Geom. Funct. Anal. **13**(2) (2003), 334-365
- [3] M. CSÖRNYEI: *On the visibility of invisible sets*, Ann. Acad. Sci. Fenn. Math. **25**(2) (2000), 417-421
- [4] M. CSÖRNYEI: *How to make Davies' theorem visible*, Bull. London Math. Soc. **33**(1) (2001), 59-66
- [5] N. H. KATZ AND T. TAO: *Some connections between Falconer's distance set conjecture and sets of Furstenberg type*, New York J. Math. **7** (2001), 149-187
- [6] J. M. MARSTRAND: *Some fundamental geometrical properties of plane sets of fractional dimensions*, Proc. London Math. Soc. **4** (3) (1954), 257-302
- [7] P. MATTILA: *Integral geometric properties of capacities*, Trans. Amer. Math. Soc. **226**(2) (1981), 539-554
- [8] P. MATTILA: *Hausdorff dimension, projections, and the Fourier transform*, Publ. Mat. **48**(1) (2004), 3-48
- [9] P. MATTILA AND T. ORPONEN: *Hausdorff dimension, intersections of projections and exceptional plane sections*, Proc. Amer. Math. Soc. **144**(8) (2016), 3419-3430
- [10] T. ORPONEN: *A sharp exceptional set estimate for visibility*, Bull. London Math. Soc. (to appear), arXiv:1602.07629
- [11] T. ORPONEN AND T. SAHLSTEN: *Radial projections of rectifiable sets*, Ann. Acad. Sci. Fenn. Math. **36**(2) (2011), 677-681
- [12] Y. PERES AND W. SCHLAG: *Smoothness of projections, Bernoulli convolutions, and the dimension of exceptions*, Duke Math. J. **102**(2) (2000), 193-251
- [13] K. SIMON AND B. SOLOMYAK: *Visibility for self-similar sets of dimension one in the plane*, Real Anal. Exchange **32**(1) (2006/2007), 67-78

UNIVERSITY OF HELSINKI, DEPARTMENT OF MATHEMATICS AND STATISTICS  
*E-mail address:* tuomas.orponen@helsinki.fi